

DCC888 – Operational Semantics ¹

Name: _____ ID: _____

1. Consider the grammar below, which generates boolean expressions:

$$\begin{array}{l} \langle E \rangle ::= \langle E \rangle \text{ and } \langle E \rangle \\ \quad | \langle E \rangle \text{ or } \langle E \rangle \\ \quad | \text{ true} \\ \quad | \text{ false} \end{array}$$

Prove that any term that this grammar produces has more operands than operators. Operands are *true* and *false*, and operators are *and* and *or*.

2. We can define the multi-step evaluation relation \rightarrow^* as the reflexive, transitive closure of one-step evaluation. The multi-step evaluation relation must meet the following properties:

- If $t \rightarrow t'$, then $t \rightarrow^* t'$.
- $t \rightarrow^* t$ for any term t .
- If $t \rightarrow^* t'$ and $t' \rightarrow^* t''$, then $t \rightarrow^* t''$.

In this exercise, you must redefine the multi-step evaluation relation as a set of inference rules.

¹Some of these exercises have been taken from the book *Types and Programming Languages* by Benjamin Pierce.

3. There exists another style of operational semantics that is very popular. It is called *big-step operational semantics*, in contrast with the *small-step style* that we have seen. The big step evaluation rules for the language of boolean and arithmetic expressions are given below:

$$\begin{array}{c}
 \text{[B-VALUE]} \qquad \qquad \qquad v \Downarrow v \\
 \\
 \text{[B-IFTRUE]} \qquad \frac{t_1 \Downarrow \text{true} \quad t_2 \Downarrow v_2}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \Downarrow v_2} \\
 \\
 \text{[B-IFFALSE]} \qquad \frac{t_1 \Downarrow \text{false} \quad t_3 \Downarrow v_3}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \Downarrow v_3} \\
 \\
 \text{[B-SUCC]} \qquad \frac{t_1 \Downarrow v_1}{\text{succ } t_1 \Downarrow \text{succ } v_1} \\
 \\
 \text{[B-PREDZERO]} \qquad \frac{t_1 \Downarrow 0}{\text{pred } t_1 \Downarrow 0} \\
 \\
 \text{[B-PREDSUCC]} \qquad \frac{t_1 \Downarrow \text{succ } v_1}{\text{pred } t_1 \Downarrow v_1} \\
 \\
 \text{[B-ISZEROZERO]} \qquad \frac{t_1 \Downarrow 0}{\text{iszero } t_1 \Downarrow \text{true}} \\
 \\
 \text{[B-ISZEROSUCC]} \qquad \frac{t_1 \Downarrow \text{succ } v_1}{\text{iszero } t_1 \Downarrow \text{false}}
 \end{array}$$

In this exercise, you must show that the big-step and the small-step evaluation rules coincide. In other words, you must prove that $t \rightarrow^* v$ if, and only if, $t \Downarrow v$.

4. Lets us add two new semantic rules to the simple language which we saw in the previous exercise. These rules are meant to handle boolean negations:

$$[\text{B-NEGTRUE}] \quad \frac{t \Downarrow \text{true}}{\text{not } t \Downarrow \text{false}}$$

$$[\text{B-NEGFALSE}] \quad \frac{t \Downarrow \text{false}}{\text{not } t \Downarrow \text{true}}$$

Given these two rules, prove the following equivalence relation in our language: “*if t then true else false* \equiv *if not t then false else true*”. You must use structural induction on the derivation rules.

5. The next question is about the language below, which emulates a simple assembly instruction set:

(Program) $::=$ *Instruction**

(Instruction) $::=$

- (Assignment) | `mov`(v_1, v_2)
- (Addition) | `add`(v_1, v_2, v_3)
- (Memory store) | `stm`(v_0, v_1)
- (Memory load) | `ldm`(v_1, v_0)
- (Branch if zero) | `bzr`(v, l)
- (Unconditional jump) | `jmp`(l)

The state of a program, in this language, is described by a three elements tuple (P, pc, Σ). P is an array of assembly instructions, representing the program itself.

Notice that P will never change during the execution of the program. Thus, technically it is not “state”, but we include it in our state tuple for readability. The register pc is a counter that indexes instructions in P . Finally, Σ is a function that associates variable names with integer values. Some instructions change pc , others change Σ . The semantics of some of these instructions is given below. We let $f[a \mapsto b]$ denote $\lambda x. \text{if } x = a \text{ then } b \text{ else } f(x)$.

$$[\text{ADDSEM}] \quad \frac{P[\text{pc}] = \text{add}(v_1, v_2, v_3) \quad \Sigma[v_2] = n_2 \quad \Sigma[v_3] = n_3 \quad \Sigma' = \Sigma[v_1 \mapsto (n_2 + n_3)] \quad \langle P, \text{pc} + 1, \Sigma' \rangle \rightarrow \Sigma''}{\langle P, \text{pc}, \Sigma \rangle \rightarrow \Sigma''}$$

$$[\text{STMSEM}] \quad \frac{P[\text{pc}] = \text{stmem}(v_0, v_1) \quad \Sigma[v_0] = x \quad \Sigma[v_1] = n \quad \Sigma' = \Sigma[x \mapsto n] \quad \langle P, \text{pc} + 1, \Sigma' \rangle \rightarrow \Sigma''}{\langle P, \text{pc}, \Sigma \rangle \rightarrow \Sigma''}$$

$$[\text{BZRSEM}] \quad \frac{P[\text{pc}] = \text{bzs}(v, l) \quad \Sigma[v] \neq 0 \quad \langle P, \text{pc} + 1, \Sigma \rangle \rightarrow \Sigma'}{\langle P, \text{pc}, \Sigma \rangle \rightarrow \Sigma'}$$

$$[\text{BNZSEM}] \quad \frac{P[\text{pc}] = \text{bzs}(v, l) \quad \Sigma[v] = 0 \quad \langle P, l, \Sigma \rangle \rightarrow \Sigma'}{\langle P, \text{pc}, \Sigma \rangle \rightarrow \Sigma'}$$

(a) Write the semantics of $\text{mov}(v_1, v_2)$, which copies the contents of v_2 into v_1 . Hint: see ADDSEM in our example rules.

(b) Write the semantics of $\text{ldm}(v_1, v_0)$, which is equivalent to the C command $v_1 = *v_0$. In other words, this instruction reads the contents of v_0 , uses this value as an address in Σ , and copies the value stored at that address into v_1 . Hint: see STMSEM above.

(c) Write the semantic rule for the instruction $\text{jmp}(l)$, which changes the program counter pc to point to label l . Hint: take a look into BZRSEM and BNZSEM above.