

CS:4330 Theory of Computation  
Spring 2018

**Computability Theory**  
Reducibility

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## Readings for this lecture

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Chapter 5 of [Sipser 1996], 3rd edition. Section 5.1.

## Reduction: Examples

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- ▷ Suppose that one wants to find their way in a city. This would be easy if one had a city map. Hence, the previous problem is reduced to the problem of finding a city map.
- ▷ Problem *A*: measuring the area of a circle, reduces to problem *B*: measuring  $r$ , the circle radius, which reduces to problem *C*: performing  $\pi r^2$
- ▷ Problem *A*: solving a system of linear equations, reduces to problem *B*: triangulating a matrix
- ▷ Problem *A*: proving a set is uncountable, reduces to problem *B*: establishing a correspondence between the set and the set of reals.

# Observations

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- ▷ Reduction is a terminating process.
- ▷ When problem  $A$  is reduced to problem  $B$ , solving  $A$  cannot be harder than the sum of reduction and solving  $B$ , because a solution to  $B$  gives a solution to  $A$ .
- ▷ If  $A$  is reduced to  $B$  and  $B$  is decidable, then  $A$  is decidable.
- ▷ If  $A$  is undecidable and reducible to  $B$  then  $B$  is also undecidable.

## Decidable problems: Methodology

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For proving that a problem  $Q$  is decidable by reduction method, proceeding as follows:

1. Find a problem  $P$  known to be decidable
2. Assume that  $P$  is decided by a TM  $M_P$
3. Use the TM  $M_P$  to construct a TM  $M_Q$  that solves  $Q$ :
  - (a) Encode every instance  $q$  of the problem  $Q$  as an instance  $q_P$  of problem  $P$
  - (b) Use  $M_P$  to solve  $q_P$  and return the result

“Decidable” can be replaced by “Turing-recognizable” to show some problems are Turing-recognizable.

# Undecidable problems: Methodology

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A common strategy for proving that a problem  $P$  is undecidable is by reduction method, proceeding as follows:

1. Find a problem  $Q$  known to be undecidable
2. Assume that  $P$  is decided by a TM  $M_P$
3. Use the TM  $M_P$  to construct a TM  $M_Q$  that solves  $Q$ :
  - (a) Encode every instance  $q$  of the problem  $Q$  as an instance  $q_P$  of problem  $P$
  - (b) Use  $M_P$  to solve  $q_P$
4. Since it is known that  $Q$  is undecidable,  $M_Q$  cannot exist. Hence,  $M_P$  cannot exist either and  $P$  is undecidable.

“Undecidable” can be replaced by “not Turing-recognizable” to show some problems are not Turing-recognizable.

# Emptiness problem for TM

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## Theorem

*The language  $E_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a TM and } \mathcal{L}(M) = \emptyset\}$  is undecidable.*

## Proof idea: reduction from $A_{\text{TM}}$ to $E_{\text{TM}}$

- ▷ Assume that  $E_{\text{TM}}$  is decidable and let  $R$  be its TM decider.
- ▷ Show that a TM  $S$  can be constructed using  $R$  that decides  $A_{\text{TM}}$

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- ▷ Show that a TM  $S$  can be constructed using  $R$  that decides  $A_{\text{TM}}$
- ▷ *Bad idea:* Run  $R$  on  $\langle M \rangle$ . If it accepts then  $\mathcal{L}(M) = \emptyset$ , so  $M$  does not accept  $w$ ; otherwise,  $\mathcal{L}(M) \neq \emptyset$ , which does not entail that  $M$  accepts  $w$

# Emptiness problem for TM

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- ▷ *Bad idea:* Run  $R$  on  $\langle M \rangle$ . If it accepts then  $\mathcal{L}(M) = \emptyset$ , so  $M$  does not accept  $w$ ; otherwise,  $\mathcal{L}(M) \neq \emptyset$ , which does not entail that  $M$  accepts  $w$
- ▷ *Good idea:* run  $R$  on a modification  $\langle M_1 \rangle$  of  $\langle M \rangle$  that guarantees that  $M_1$  rejects all strings except  $w$ . That is

$$\mathcal{L}(M_1) = \begin{cases} \{w\} & \text{if } w \in \mathcal{L}(M) \\ \emptyset & \text{otherwise} \end{cases}$$

$R$  can test then if  $\mathcal{L}(M_1) = \emptyset$  to determine whether  $w \in \mathcal{L}(M)$ .

## Proving $E_{TM}$ is undecidable

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### The modified machine $M_1$

$M_1$  = "On input  $x$ :

1. If  $x \neq w$ , *reject*
2. If  $x = w$ , run  $M$  on input  $w$  and if  $M$  accepts, *accept*."

▷ Note that  $M_1$  has  $w$  "hardcoded" as part of its description.

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▷ Note that  $M_1$  has  $w$  "hardcoded" as part of its description.

### The machine $S$

$S$  = "On input  $\langle M, w \rangle$ , in which  $M$  is a TM and  $w$  a string:

1. Construct  $M_1$  from  $\langle M, w \rangle$
2. Run  $R$  on input  $\langle M_1 \rangle$
3. If  $R$  accepts, *reject*; if  $R$  rejects, *accept*."

▷ If  $E_{TM}$  was decidable then  $A_{TM}$  would be decidable. Since  $A_{TM}$  is undecidable, so is  $E_{TM}$ .

# TM and regular languages

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- ▷ Can a TM recognize a language recognized by a simpler computational model, such as a regular language?
- ▷ For example,  $REGULAR_{TM}$  is the problem of testing whether a given TM has an equivalent finite automaton.
- ▷ This is the same as testing whether a TM recognizes a regular language:

$$REGULAR_{TM} = \{ \langle M \rangle \mid M \text{ is a TM and } \mathcal{L}(M) \text{ is regular} \}$$

# TM and regular languages

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## Theorem

*REGULAR<sub>TM</sub> is undecidable.*

## Proof idea: reduction to decidability of $A_{TM}$

Let  $R$  be a decider for  $REGULAR_{TM}$ . Build decider  $S$  for  $A_{TM}$  which leverages  $R$ .

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- ▷ On input  $\langle M, w \rangle$ ,  $S$  modifies  $M$  so that the resulting TM  $M'$  recognizes a regular language if and only if  $M$  accepts  $w$ :

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- ▷ On input  $\langle M, w \rangle$ ,  $S$  modifies  $M$  so that the resulting TM  $M'$  recognizes a regular language if and only if  $M$  accepts  $w$ :
  - ▶  $M'$  recognizes the non-regular language  $\{0^n 1^n \mid n \geq 0\}$  (chosen arbitrarily) if  $M$  does not accept  $w$
  - ▶  $M'$  recognizes the regular language  $\Sigma^*$  (chosen arbitrarily) if  $M$  accepts  $w$ .

# TM and regular languages

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A decider  $S$  for  $A_{\text{TM}}$  based on a decider  $R$  for  $\text{REGULAR}_{\text{TM}}$  is as follows:

$S =$  "On input  $\langle M, w \rangle$  in which a  $M$  is a TM and  $w$  a string:

1. Build the following TM  $M'$ :

$M' =$  "On input  $x$ :

1. If  $x$  has the form  $0^n 1^n$ , *accept*
  2. Otherwise, run  $M$  on  $w$  and if  $M$  accepts  $w$ , *accept*."
2. Run  $R$  on  $\langle M' \rangle$ .
  3. If  $R$  accepts, *accept*; if  $R$  rejects, *reject*."

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Since  $A_{\text{TM}}$  is undecidable,  $R$  cannot exist, therefore  $REGULAR_{\text{TM}}$  is undecidable.

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Since  $A_{\text{TM}}$  is undecidable,  $R$  cannot exist, therefore  $REGULAR_{\text{TM}}$  is undecidable.

Moreover, the same holds for  $CFL_{\text{TM}}$ ,  $DECIDABLE_{\text{TM}}$ , etc.

# Rice's Theorem

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Determining any property of the languages recognized by Turing machines is undecidable.

## Theorem

Let  $P$  be the language of TMs descriptions where  $P$  fulfills the following conditions:

1.  $P$  is nontrivial, i.e. it contains some, but not all, TM descriptions. Formally: there exist TMs  $M_1$  and  $M_2$  s.t.  $\langle M_1 \rangle \in P$  and  $\langle M_2 \rangle \notin P$ .
2.  $P$  is a property of the TMs language. Formally: for any TMs  $M_1$  and  $M_2$ , if  $\mathcal{L}(M_1) = \mathcal{L}(M_2)$  then  $\langle M_1 \rangle \in P$  iff  $\langle M_2 \rangle \in P$ , i.e. membership of a TM  $M$  in  $P$  depends only on the language of  $M$ .

$P$  is undecidable.

## Other reductions

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Sometimes reducing from other undecidable languages other than  $A_{\text{TM}}$ , such as  $E_{\text{TM}}$ , may be more convenient:

### Theorem

$EQ_{\text{TM}} = \{ \langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } \mathcal{L}(M_1) = \mathcal{L}(M_2) \}$  is undecidable.

Proof idea: reduction from  $E_{\text{TM}}$  to  $EQ_{\text{TM}}$

## Other reductions

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Sometimes reducing from other undecidable languages other than  $A_{TM}$ , such as  $E_{TM}$ , may be more convenient:

### Theorem

$EQ_{TM} = \{ \langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } \mathcal{L}(M_1) = \mathcal{L}(M_2) \}$  is undecidable.

### Proof idea: reduction from $E_{TM}$ to $EQ_{TM}$

Let  $R$  be the decider of  $EQ_{TM}$ . A decider  $S$  for  $E_{TM}$  based on  $R$  is as follows:  
 $S =$ “On input  $\langle M \rangle$  in which a  $M$  is a TM:

1. Run  $R$  on input  $\langle M, M' \rangle$ , in which  $M'$  is a TM that rejects all inputs
2. If  $R$  accepts, *accept*; if  $R$  rejects, *reject*.”

Since  $E_{TM}$  is undecidable, so must be  $EQ_{TM}$ .