

CS:4330 Theory of Computation  
Spring 2018

**Computability Theory**  
The class P

Haniel Barbosa



## Readings for this lecture

---

Chapter 7 of [Sipser 1996], 3rd edition. Section 7.2.

# Polynomial time

---

- ▷ Polynomial differences in running time are considered to be small whereas exponential differences are considered to be large.
- ▷ Consider the difference between growth rate of polynomial (e.g.  $n^3$ ) and exponential (e.g.  $2^n$ ) functions, for  $n = 10^3$ :
  - ▶  $n^3 = 10^9$  is large but manageable
  - ▶  $2^n$  is a number “larger than the number of atoms in the universe”, i.e. unmanageable
- ▷ In general polynomial time algorithms are fast enough for most purposes, while exponential time algorithms are less frequently useful

# Source of complexity

---

- ▷ *Brute-force search*: exponential time algorithms that solve problems by exhaustively searching through a space of solutions
  
- ▷ An example is factoring a number into its constituent primes by searching through all potential divisors
  
- ▷ Sometimes brute-force search may be avoided through a deeper understanding of the problem, which may reveal polynomial time algorithms

# Time complexity theory

---

- ▷ The aim of time complexity theory is to present fundamental properties of computation rather than properties of Turing machines or any other particular model
- ▷ Therefore we focus on aspects of computing that are unaffected by polynomial differences in running time
- ▷ This allows us to develop a theory that does not depend on the selection of a particular model of computation.

# The class $\mathbf{P}$

---

## Definition

$\mathbf{P}$  is the class of languages that are decidable in polynomial time on a deterministic single-tape Turing machine, i.e.

$$\mathbf{P} = \bigcup_k \text{TIME}(n^k)$$

The class  $\mathbf{P}$  plays a central role in time complexity theory because:

1.  $\mathbf{P}$  is invariant for all models of computation that are polynomially equivalent to the deterministic single-tape TM, i.e.  $\mathbf{P}$  is mathematically robust
2.  $\mathbf{P}$  roughly corresponds to the class of problems that are realistically solvable by computers, i.e.  $\mathbf{P}$  is relevant from a practical standpoint

## Practical relevance

---

- ▷ When a problem is in **P** we have a method to solve it in time  $n^k$  for some constant  $k$ . Whether  $n^k$  is practical depends on  $k$  and on the application
- ▷ Calling polynomial time the “threshold of practical solvability” has proven to be useful
- ▷ Once a polynomial time algorithm has been found for a problem that required exponential time, some key insight has been gained and further reductions in time complexity usually follow

## Notational conventions for examples of problems in P

---

- ▷ We describe algorithms using numbered stages, where a stage is analogous to a high-level step of a Turing machine, or a sequence of simple steps of a Turing machine
  
- ▷ When we analyze an algorithm we need to do two things:
  1. Give a polynomial upper bound (using e.g. big-O) on the number of stages the algorithm uses
  2. Examine the individual stages in the description of the algorithm to be sure that each runs in polynomial time on a deterministic model
  
- ▷ When both tasks have been completed we can conclude that the algorithm runs in polynomial time because composition of polynomials is a polynomial

## Notational conventions for examples of problems in P

---

- ▷ We use the notation  $\langle \cdot \rangle$  to indicate a reasonable encoding of one or more objects into a string, without specifying any particular encoding method
- ▷ A reasonable method is one that allows for polynomial time encoding and decoding of objects into internal representations or into other reasonable encodings; familiar encoding methods for graphs, automata, etc., are reasonable
- ▷ Unreasonable encoding methods are those that generate exponentially large representations, such as using unary strings to encode natural numbers; to encode numbers one should use base  $k$  notation, for  $k \geq 2$

# Graph encodings

---

- ▷ As lists of nodes and edges
- ▷ Or as an adjacent matrix  $M$  where  $M(i,j) = 1$  if there is an edge from node  $i$  to node  $j$  and  $M(i,j) = 0$  otherwise
- ▷ Running time of graph algorithms may be computed in number of nodes instead of size of graph representation since the size of the representation is a polynomial in the number of nodes

# The *PATH* problem

---

Does a directed path exist from two given nodes in a graph? Let

$$PATH = \{ \langle G, s, t \rangle \mid G \text{ is a directed graph that has a directed path from } s \text{ to } t \}$$

## Theorem

$$PATH \in \mathbf{P}$$

## Proof idea

Construct a polynomial time algorithm that decides *PATH*. Note that the brute-force algorithm that examines all potential paths in *G* and determines whether any is a direct path from *s* to *t* would not suffice.

## Brute-force algorithm for *PATH*

---

- ▷ A potential path is a sequence of nodes in  $G$  having length at most  $m$ , in which  $m$  is the number of nodes in  $G$
- ▷ If a direct path exists from  $s$  to  $t$ , one having a length at most  $m$  exists because repeating a node is never necessary
- ▷ The number of potential paths is roughly  $\mathcal{O}(m^m)$ , which is exponential in the number of nodes
- ▷ Therefore a brute-force approach must be avoided for deciding *PATH* in polynomial time

## A polynomial time algorithm for *PATH*

---

$M =$ “On input string  $\langle G, s, t \rangle$  where  $G$  is a direct graph containing nodes  $s$  and  $t$ :

1. Place a mark on node  $s$
2. Repeat until no additional nodes are marked:
  3. Scan all the edges of  $G$ . If an edge  $(a, b)$  is found going from a marked node  $a$  to an unmarked node  $b$ , mark node  $b$
4. If  $t$  is marked, *accept*. Otherwise *reject*.”

## A polynomial time algorithm for *PATH*

---

$M =$ “On input string  $\langle G, s, t \rangle$  where  $G$  is a direct graph containing nodes  $s$  and  $t$ :

1. Place a mark on node  $s$
2. Repeat until no additional nodes are marked:
  3. Scan all the edges of  $G$ . If an edge  $(a, b)$  is found going from a marked node  $a$  to an unmarked node  $b$ , mark node  $b$
4. If  $t$  is marked, *accept*. Otherwise *reject*.”

▷ Stages 1 and 4 are executed only once and at most scan all the nodes, hence they are polynomially bound

## A polynomial time algorithm for *PATH*

---

$M =$ “On input string  $\langle G, s, t \rangle$  where  $G$  is a direct graph containing nodes  $s$  and  $t$ :

1. Place a mark on node  $s$
2. Repeat until no additional nodes are marked:
  3. Scan all the edges of  $G$ . If an edge  $(a, b)$  is found going from a marked node  $a$  to an unmarked node  $b$ , mark node  $b$
4. If  $t$  is marked, *accept*. Otherwise *reject*.”

- ▷ Stages 1 and 4 are executed only once and at most scan all the nodes, hence they are polynomially bound
- ▷ Stage 3 runs at most  $m$  times because each time except the last it marks an additional node of  $G$ . Each run scans all the edges, which can be done polynomially on the number of edges. Hence, stages 2 and 3 are also polynomially bound

## A polynomial time algorithm for *PATH*

---

$M$  = “On input string  $\langle G, s, t \rangle$  where  $G$  is a direct graph containing nodes  $s$  and  $t$ :

1. Place a mark on node  $s$
2. Repeat until no additional nodes are marked:
  3. Scan all the edges of  $G$ . If an edge  $(a, b)$  is found going from a marked node  $a$  to an unmarked node  $b$ , mark node  $b$
4. If  $t$  is marked, *accept*. Otherwise *reject*.”

- ▷ Stages 1 and 4 are executed only once and at most scan all the nodes, hence they are polynomially bound
- ▷ Stage 3 runs at most  $m$  times because each time except the last it marks an additional node of  $G$ . Each run scans all the edges, which can be done polynomially on the number of edges. Hence, stages 2 and 3 are also polynomially bound
- ▷ Therefore  $M$  is a polynomial time algorithm for *PATH*

# The RELPRIME problem

---

For  $x, y \in \mathbb{N}$ ,  $x$  and  $y$  are *relatively prime* if 1 is the largest integer that evenly divides them both. Can we determine in polynomial time whether two numbers are relatively prime? Let

$$RELPRIME = \{ \langle x, y \rangle \mid x \text{ and } y \text{ are relatively prime} \}$$

## Theorem

$RELPRIME \in P$

## Proof idea

Again, build a polynomial time algorithm avoiding brute-force, i.e. searching through all possible divisors of  $x$  and  $y$  and accepting if none is greater than 1.

## A polynomial time algorithm for *RELPRIME*

---

We can use Euclidian algorithm to find the greatest common divisor of two numbers,  $gcd(x, y)$ :

$E$  = "On input string  $\langle x, y \rangle$  where  $x, y \in \mathbb{N}^+$ :

1. Repeat until  $y = 0$ 
  2. Let  $x \leftarrow x \bmod y$
  3. Exchange  $x$  with  $y$
4. Output  $x$ "

Using  $E$  as a subroutine it is straightforward to build a solution  $R$  for *RELPRIME*:

$R$  = "On input string  $\langle x, y \rangle$  where  $x, y \in \mathbb{N}^+$ :

1. Run  $E$  on  $\langle x, y \rangle$
2. If  $E$  returns 1, *accept*. Otherwise, *reject*."

If  $E$  runs in polynomial time, so does  $R$ .

## Analyzing $E$

---

- ▷ After stage 2 is executed,  $x < y$ , by definition of mod
- ▷ After stage 3,  $x > y$ , since the two have been exchanged
- ▷ If  $x/2 \geq y$ , then  $x \bmod y < y \leq x/2$  and  $x$ , after stage 2, drops by at least half. If  $x/2 < y$ , then  $x \bmod y = x - y < x/2$  and  $x$  drops by at least half
- ▷ Therefore every execution of stage 2 (except possibly the first) cuts the value of  $x$  at least by half
- ▷ Since stage 3 exchanges  $x$  and  $y$ , each of their original values is reduced by half every other time through the loop
- ▷ Then the maximum number of times stages 2 and 3 are repeated is the lesser of  $2\log_2 x$  and  $2\log_2 y$ . These logarithms are proportional to the length  $n$  of the representation of  $x$  and  $y$
- ▷ Thus the number of stage executions is polynomially bounded and  $E$  is polynomial, which means that so is the solution to *RELPRIME*