A Semi-automatic Approach for Extracting Software Product Lines

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Abstract
The extraction of non-trivial software product lines (SPL) from a legacy application is a time-consuming task. First, developers must identify the components responsible for the implementation of each program feature. Next, they must locate the lines of code that reference the components discovered in the previous step. Finally, they must extract those lines to independent modules or annotate them in some way. To speed up product line extraction, this paper describes a semi-automatic approach to annotate the code of optional features in SPLs. The proposed approach is based on an existing tool for product line development, called CIDE, that enhances standard IDEs with the ability to associate background colors with the lines of code that implement a feature. We have evaluated and successfully applied our approach to the extraction of optional features from three non-trivial systems: Prevayler (an in-memory database system), JFreeChart (a chart library), and ArgoUML (a UML modeling tool).
1 Introduction

Software product lines (SPL) have emerged as an important development approach to avoid one-off software implementations. Essentially, SPL-based development advocates the generation of programs by combining particular features (or variabilities) with a common set of core components [9, 42, 36, 45]. The ultimate goal is to create program families that meet the requirements of a particular market segment. For example, in the case of database systems, developers might need to derive tailored systems with or without transactions, with or without replication, with or without logging, and so on [23].

Organizations interested in adopting SPLs usually rely on an extractive approach, where existing software systems are used as a baseline for bootstrapping an SPL [28, 15]. However, despite the benefits of starting from an existing codebase, the extraction of an SPL is usually a time-consuming task. First, developers must identify the classes, methods, and fields responsible for the implementation of each feature. Next, they must manually locate the lines of code that reference the elements discovered in the previous step. Finally, depending on the implementation technology, they must extract these lines to independent modules (e.g. aspects) or annotate them in some way (e.g. using #ifdef and #endif preprocessor directives).

To speed up product line extraction, this paper describes a semi-automatic approach to annotate the code of optional features in SPLs. The proposed approach is based on an existing tool for software product line development called CIDE (Colored IDE) [24]. CIDE enhances the standard IDE with the ability to associate background colors with the lines of code in charge of implementing features. Therefore, instead of textual annotations, such as #ifdef and #endif, visual colors are used as annotations. For example, in the abovementioned database system example, developers can mark all lines of code belonging to the feature Replication with the color red. Furthermore, CIDE supports the generation of projections of a system where the code annotated with a given color is not shown. Using this form of projection, developers can generate a software product without a particular feature.

The approach described in this paper leverages the CIDE tool by supporting the semi-automatic annotation of code related to optional features. For this purpose, SPL developers must initially supply a set of syntactic elements, including for example packages, classes, methods, and fields, associated with the features under extraction. These elements are called feature seeds, or simply seeds. The annotation algorithm is a fixed-point algorithm with two phases. In the first phase, called color propagation, the program elements that reference the seeds are visually annotated with a color reported by the developer. For example, in our refactoring of the ArgoUML modeling tool, the method debug has been classified as one
of the seeds for the feature *Logging*. Therefore, during the color propagation phase, every call to `debug` will be visually annotated with the color selected by the developer to denote *Logging*. In the second phase, called color expansion, the algorithm checks whether a color can be expanded to its enclosing lexical context. For example, suppose we have the following method:

```java
void mySingleClick(int tab) {
    debug("single: " + ... ); // annotated in the color propagation phase
}
```

Because this method only calls `debug` (annotated in the first phase), the method’s body – and associated calls – can also be annotated with the color that denotes *Logging*. The semantics in this case is that `mySingleClick` is not needed in products where *Logging* has been disabled (including its calls).

We have successfully applied the proposed approach to the extraction of three non-trivial SPLs. First, we have extracted five features from an in-memory database system, called Prevayler. This system has been previously used in other studies about SPL extraction, using aspect-oriented and feature-oriented programming [31, 16]. However, in such experiences, many enabling transformations have been applied to the original Prevayler code, mainly to associate feature-related code with the specific program locations that can be extended by languages such as AspectJ [27] and Jak [3]. On the other hand, our annotation algorithm does not impose any constraints on the object-oriented code.

In a second case study, we have extracted three features from the JFreeChart library. In this study, using regular expressions to describe the seeds of each feature, we have been able to annotate 99% of the feature’s source code (when compared with a manual extraction performed independently by one of the paper’s author). In the last study, we extracted four features from the ArgoUML modeling tool. By using the entire packages responsible for the feature’s implementation as seeds, we have achieved recall rates ranging from 88% to 97% (when compared with a manual refactoring conducted independently by a developer with no knowledge about our annotation approach).

The organization of the paper is as follows. We start with an overview of the CIDE tool (Section 2). Next, Section 3 describes the rationale behind the proposed approach, including a description of its central goals, underlying semantics, and limitations. Section 4 details the proposed annotation algorithm, including a formal presentation of the color propagation and color expansion phases. This section also presents the semi-automatic expansions suggested by the algorithm when it is not trivial to expand a color to its enclosing context.
Section 5 introduces the extraction process that developers should follow to apply the proposed annotation algorithm. Section 6 presents the results of the application of the proposed process to extract optional features from three non-trivial systems (Prevayler, JFreeChart, and ArgoUML). Section 7 discusses related work, and Section 8 concludes the paper with a summary of the its main contributions and a discussion of future work.

2 CIDE: Colored IDE

Proposed by Kästner and Apel, CIDE is a tool that leverages conventional preprocessors by replacing textual directives by visual annotations in the form of background colors [24, 26]. As presented in Figure 1, visual annotations are exhibited directly in the editor provided by the Eclipse IDE. For example, in this figure, the code fragments related to synchronization concerns have been annotated using the color blue and the fragments related to logging have been marked in yellow. As can be observed in the figure, visual annotations reduce the code obfuscation problem usually observed when using textual directives, such as `#ifdef` and `#endif` [41, 14].

![Figure 1: CIDE screenshot (extracted from [26])](image)

To facilitate navigation over the annotated code, the tool allows developers to jump between the code fragments associated with a certain feature. Moreover, as in the folding and unfolding of program elements provided by conventional IDE, developers can hide the code associated with a given feature. In this way, they can synthesize a product without this feature. To avoid the syntax errors that can occur at derivation time when using `#ifdef`
and `#endif`, CIDE supports a disciplined form of annotation. Essentially, it is not possible
to mark every token of the target program (including tokens that do not carry semantic
information, such as commas or brackets). Instead, only coarse-grained structures, such as
complete declarations, definitions, and statements, can be annotated.

To handle overlapping features, CIDE mixes the respective background colors (e.g. log-
ging tangled with replication will receive both the color assigned to replication and the color
assigned to the logging feature). Background colors do not scale to SPLs with hundreds of
features (because the human eye can only discern a limited number of colors). However,
the background colors are sufficient to distinguish non-marked code from the code associ-
ated to features. Furthermore, tooltips show the names of the features associated with any
marked code. Finally, CIDE provides a product-line-aware type system that guarantees that
all variants of an SPL are well-typed [22]. For example, CIDE’s type checker can detect
situations like dangling method calls (i.e. methods that are removed in a variant, but that
are still called). When a type error is detected, the tool suggests a list of so-called “quick
fixes”, which are usually restricted to the annotation of the ill-typed statement. Therefore,
the suggested repair actions do not scale to the whole program (as in the propagation phase
of the algorithm proposed in this paper).

**CIDE vs. Other SPL Extraction Approaches:** Table 1 summarizes the differences be-
tween CIDE and three well-known approaches for extracting variabilities: conditional compi-
lation (CC), aspect-oriented programming (AOP), and feature-oriented programming (FOP).
In this comparison, we have considered AspectJ [27] as being the representative of the various
AOP techniques and Jak [3] as being the representative of FOP. With respect to the prop-
erties considered in Table 1, feature cohesion denotes the capability to manipulate features
under a cohesive code infrastructure [32]. For example, AspectJ does not allow developers
to assign a name to all program extensions and to manipulate them as a single module. In
contrast, Jak relies on mixin-layers and collaborations to provide feature cohesion. Finally,
to emulate feature cohesion, CIDE provides support for editable views, i.e. it is possible to
configure the editor to show only the code of one or more features (and some necessary con-
text), while hiding everything else. However, when updating a view, developers should be
aware that the view’s code may interfere with and suffer interference from the code context
hidden by the view
\footnote{For example, the view may change the value or even remove the declaration of a local variable that is
used outside the view. As a second example, the view can rely on a value that is defined in an outside context.}.
<table>
<thead>
<tr>
<th>Properties</th>
<th>CC</th>
<th>AOP</th>
<th>FOP</th>
<th>CIDE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Extensions</td>
<td>Extremely fine-grained</td>
<td>Coarse-grained</td>
<td>Coarse-grained</td>
<td>Fine-grained</td>
</tr>
<tr>
<td>Separation of concerns</td>
<td>No</td>
<td>Yes (physical)</td>
<td>Yes (physical)</td>
<td>Yes (virtual)</td>
</tr>
<tr>
<td>Feature cohesion</td>
<td>No</td>
<td>No</td>
<td>Yes (physical)</td>
<td>Yes (virtual)</td>
</tr>
</tbody>
</table>

Table 1: Comparing CIDE with conditional compilation (CC), aspect-oriented programming (AOP), and feature-oriented programming (FOP)

3 Proposed Approach

The central goal of our approach is to automate the extraction of optional features from an existing system. We assume that a system can be decomposed into mandatory features, that constitute the system’s core, and optional features, whose implementation crosscuts the core. For optional features, our approach relies on CIDE to annotate the blocks of code that contribute to the feature’s implementation. For example, suppose that an optional feature $F$ is associated with a color $c$. In this case, the semantics behind the annotation of a block of code $S$ with color $c$ is as follows: to build a product without $F$, we must remove $S$. In summary, because the goal is to disable features from an existing codebase, our approach is based on removing code (or annotating code, according to CIDE’s semantics).

The inputs of the annotation algorithm are a set of program elements $S$ associated with a given feature $F$ and a color $c$. Elements of $S$ are called feature seeds, or simply seeds. The following program elements can be used as seeds: packages, classes or interfaces, methods, and fields. It is also possible to use regular expressions to define seeds (e.g. `Logger.*` will match any method or field from the class `Logger`). As an output, the algorithm applies the background color $c$ to the code fragments associated with the optional feature $F$.

**Optional Features:** Our approach targets the extraction of optional features, i.e. features that can be safely removed without disrupting the behavior of the core. In other words, an optional feature can be plugged in or plugged out from the system. When it is plugged in, it complements the system with its functionality (e.g. logging). When it is plugged out, the system is still operational (e.g. a system without logging is useful in many scenarios). More formally, suppose the following flow of execution of an existing program:

$$
\text{main} \rightarrow I_1 \rightarrow F \rightarrow I_2 \rightarrow B
$$

where $B$ denotes a block of code from the base program, $F$ denotes a block of code marked
as related to the optional feature $F$, $I_1$ denotes the intermediary code executed between the start of the program and $F$, and $I_2$ denotes the intermediary code executed between $F$ and $B$. Moreover, suppose that $\text{pre}(B)$ and $\text{pos}(B)$ are Boolean expressions denoting the pre and postconditions expected by $B$, respectively. A feature $F$ is optional if for any execution flow like the previous one, the following execution is also possible:

$$\text{main} \rightarrow I_1 \rightarrow I_2 \rightarrow \text{assert(\text{pre}(B))} \rightarrow B \rightarrow \text{assert(\text{pos}(B))}$$

In other words, the removal of $F$ must not interfere with the correctness of $B$, including its pre and postconditions. Furthermore, the removal of $F$ must not syntactically affect $B$. 

**Feature Dependencies:** A feature $F_2$ depends on a feature $F_1$ if it is impossible to have a product with $F_2$ selected and $F_1$ unselected. For example, in the product line we extracted for ArgoUML, the feature $\text{ActivityDiagram}$ depends on the feature $\text{StateDiagram}$. In fact, the code that implements $\text{ActivityDiagram}$ relies on code originally designed to implement $\text{StateDiagram}$ (e.g. there are classes associated to $\text{ActivityDiagram}$ that extend classes associated to $\text{StateDiagram}$).

More formally, a feature $F_2$ depends on a feature $F_1$ if there is at least an execution flow in the following form

$$\text{main} \rightarrow I_1 \rightarrow F_1 \rightarrow I_2 \rightarrow F_2$$

that fails after removing $F_1$, i.e.

$$\text{main} \rightarrow I_1 \rightarrow I_2 \rightarrow \text{assert(\text{pre}(F_2))} \rightarrow \text{fail}$$

Moreover, in the cases when the program does not compile after the removal of $F_1$, it is also assumed that $F_2$ depends on $F_1$. The dependency means that it is impossible to have a product with $F_2$ selected and $F_1$ unselected. However, our algorithm cannot detect and avoid the generation of products configured in this way. In other words, our assumption is that feature dependencies are discovered, mapped, and handled externally by developers, possibly using a feature management tool (such as pure::variants [4] or fmp [2]). Moreover, our approach does not tackle the possible impact of optional features in a user interface’s layout or in external resources manipulated by the system (including databases, configuration files, build files and so on).
Optional Feature Problem: In the extraction of SPLs, the optional feature problem designates the impossibility of implementing certain optional features in single modules [31, 25]. For example, the implementation of logging may be nested inside the implementation of another optional feature, such as replication. Therefore, code related to logging must be decomposed into modules such as Core-Logging (which provides logging to the system core) and Replication-Logging (which provides logging to the replication modules). In composition-based approaches, such smaller modules are called derivatives [31]. On the other hand, in the annotation-based algorithm proposed in this paper, the optional feature problem is tackled by assigning two colors to the code fragments associated to both features. Nevertheless, the semantics behind annotations does not change in such cases, i.e. to build a product without \( F \), any code \( S \) marked with \( F \)'s color must be removed (despite the existence of other colors assigned to \( S \)).

Example: Suppose a class Stack – adapted from [24] – with the optional features and respective seeds as described in Table 2. Figure 2 shows the method push from this class after the annotations introduced by our approach (marked lines are indicated by comments). Initially, for Logging, our algorithm will annotate the method call in line 4. For the features Snapshot and Replication, the algorithm will propagate the respective colors to the calls in lines 11 and 13. After this propagation, the body of an if statement will be marked in both cases, but not the corresponding expressions. Therefore, a color expansion will be automatically applied to mark the complete if statements (lines 10-11 and 12-13).

For Multithreading, our algorithm will first annotate the declaration of the local variable lk (line 2) and its use in the if expression (line 3). In this case, however, it is not safe to infer that the annotation should be expanded to the whole if expression (as it depends on the return of the method lock()). Therefore, a semi-automatic expansion will be triggered in this case, i.e. the algorithm will ask the users whether they authorize the color expansion throughout the whole if expression. In this particular case, the suggested expansion will be accepted and the if expression will be annotated. Finally, this semi-automatic annotation will trigger an automatic expansion to mark the whole if statement (lines 3-6) as related to Multithreading. After such expansions, the logging call in line 4 will be marked with two background colors (Multithreading and Logging). Therefore, this call will be removed in any products with Multithreading disabled. It will also be removed in products with Logging disabled (regardless of whether Multithreading is enabled).

Finally, the feature Replication depends on the feature Snapshot (because replication
<table>
<thead>
<tr>
<th>Feature</th>
<th>Description</th>
<th>Seeds</th>
</tr>
</thead>
<tbody>
<tr>
<td>Logging</td>
<td>Logs internal stack events</td>
<td>Class Log</td>
</tr>
<tr>
<td>Snapshot</td>
<td>Saves a snapshot in disk of the stack elements</td>
<td>Method snapshot</td>
</tr>
<tr>
<td>Replication</td>
<td>Replicates the stack in another server</td>
<td>Method replicate</td>
</tr>
<tr>
<td>Multithreading</td>
<td>Thread-safe stack</td>
<td>Class Lock</td>
</tr>
</tbody>
</table>

Table 2: Stack features and seeds

```java
1: boolean push(Object o) {
2:   Lock lk = new Lock(); // Multithreading
3:   if (lk.lock() == null) { // Multithreading
4:     Log.log("lock failed for " + o); // Multithreading, Logging
5:     return false; // Multithreading
6:   }
7:   elements[top++] = o;
8:   size++;
9:   lk.unlock(); // Multithreading
10:  if ((size % 10) == 0) // Snapshot
11:    snapshot("stack.db"); // Snapshot
12:   if ((size % 100) == 0) // Replication
13:     replicate("stack.db", "server2"); // Replication
14:  return true;
15: }
```

Figure 2: Method `push` with features annotated by our approach

relies on the data persisted by `snapshot` to send a copy to another host\(^2\). However, our approach does not detect or avoid the generation of products with `Replication` enabled, but with `Snapshot` disabled. As mentioned, the assumption is that the feature dependencies are managed externally by SPL engineers.

## 4 Annotation Algorithm

Figure 3 presents the algorithm’s main routine. Essentially, it is a fixed-point algorithm that propagates the background color \( c \) throughout the program until all program elements that depend on the seeds \( S \) are marked. The algorithm has two phases: color propagation and color expansion. During the color propagation phase, the AST (Abstract Syntax Tree) nodes

\(^{2}\)To make a link with the previous definition for feature interaction, the call to `replicate` (line 13) assumes the following precondition: \( \text{exists("stack.db")} \), where \( \text{exists} \) is a predicate that verifies whether a file exists. This precondition fails if we remove the code annotated for `Snapshot` (lines 10-11), because `stack.db` is a file created by the `snapshot` function.
$C_1$ that correspond to the seeds $S$ are annotated; next, the AST nodes $C_2$ that correspond to the elements defined in $C_1$ are also annotated and successively, until no new nodes can be annotated. The goal of the second phase, color expansion, is to check whether the enclosing context of the AST nodes annotated in the previous phase can also be marked.

\[
\text{Main}(\text{Seed } S, \text{Color } c) = \\
\forall s \in S \rightarrow \text{ColorPropagation}(s, c); \\
\text{ColorExpansion}();
\]

Figure 3: Main routine

Tables 3 and 4 present the auxiliary functions used in the color propagation and expansion phases. The functions from Table 3 return structural information about the target system, by querying its AST, and the functions from Table 4 return a set of AST nodes. For example, $\text{call}(m)$ returns the AST nodes containing calls to the method $m$. Finally, the function $\text{cide}(t, c)$ is used to annotate the AST nodes $t$ with color $c$.

<table>
<thead>
<tr>
<th>Function</th>
<th>Returns</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\text{classes}(p)$</td>
<td>classes defined in package $p$</td>
</tr>
<tr>
<td>$\text{interfaces}(p)$</td>
<td>interfaces defined in a package $p$</td>
</tr>
<tr>
<td>$\text{meths}(t)$</td>
<td>methods defined in a class or interface $t$</td>
</tr>
<tr>
<td>$\text{fields}(t)$</td>
<td>fields defined in a class $t$</td>
</tr>
<tr>
<td>$\text{hasType}(t)$</td>
<td>local variables and formal parameters of type $t$</td>
</tr>
<tr>
<td>$\text{hasReturnType}(t)$</td>
<td>methods with return type $t$</td>
</tr>
<tr>
<td>$\text{impl}(i)$</td>
<td>classes that implement the interface $i$ (or an interface that extends $i$)</td>
</tr>
<tr>
<td>$\text{extends}(t)$</td>
<td>classes that extend the class $t$</td>
</tr>
<tr>
<td>$\text{formal}(p)$</td>
<td>formal parameters associated to the actual parameter $p$</td>
</tr>
</tbody>
</table>

Table 3: AST query functions

**Color Propagation:** Figure 4 presents the color propagation algorithm. As mentioned above, this algorithm marks all program elements that depend directly or indirectly on the seed $S$. More specifically, the program elements that can be affected by color propagation include packages (rule P1), classes (rule P2), interfaces (rule P3), methods (rule P4), fields (rule P5), local variables (rule P6), and formal parameters (rule P7). Next, we describe each of these rules.

- Rule P1: to annotate package $p$, we must propagate the color to the classes and interfaces declared in this package (lines 2-3). We must also annotate the import statements that include classes from $p$ (line 4).
### Table 4: Functions that return AST nodes

<table>
<thead>
<tr>
<th>Function</th>
<th>Returns</th>
</tr>
</thead>
<tbody>
<tr>
<td><code>call(m)</code></td>
<td>calls to method <code>m</code></td>
</tr>
<tr>
<td><code>override(m)</code></td>
<td>methods that override <code>m</code> in immediate subclasses</td>
</tr>
<tr>
<td><code>access(x)</code></td>
<td>read/write to field, local variable or formal parameter <code>x</code></td>
</tr>
<tr>
<td><code>actual(p)</code></td>
<td>actual parameters associated to the formal parameter <code>p</code></td>
</tr>
<tr>
<td><code>declaration(x)</code></td>
<td>declaration of a field, local variable, parameter, or interface <code>x</code></td>
</tr>
<tr>
<td><code>import(t)</code></td>
<td>import statements for the type <code>t</code></td>
</tr>
<tr>
<td><code>import(p.*)</code></td>
<td>import statements for types from package <code>p</code></td>
</tr>
<tr>
<td><code>new(t)</code></td>
<td>instantiation of objects of type <code>t</code></td>
</tr>
<tr>
<td><code>impl(m)</code></td>
<td>implementation of the method <code>m</code></td>
</tr>
<tr>
<td><code>package(p)</code></td>
<td>implementation of the package <code>p</code></td>
</tr>
</tbody>
</table>

- Rule P2: to annotate class `t`, we must propagate the color to its methods and fields (lines 6-7), as well as to the following program elements that rely on `t`: subclasses (line 8), local variable declarations (line 9), methods returning this type (line 10), object instantiations (line 11), and `import` statements (line 12).

- Rule P3: to annotate interface `i`, we must propagate the color to its declaration (line 14), as well as to the following program elements that rely on `i`: implementation of the methods from this interface (line 15), local variable declarations (line 16), methods returning this type (line 17) and `import` statements (line 18).

- Rule P4: to annotate method `m`, we must propagate the color to its implementation (line 20), as well as to the calls to `m` (line 21) and to the methods that override `m` (line 22). It is important to mention that the algorithm only annotates calls that can be inferred statically. For example, consider a call `t.m()`, where the (static) type of the target object `t` is `T`. This call will get annotated in two situations: (a) when the implementation of `m` in `T` has been annotated; (b) when `m` is a method inherited from a superclass `T'` and the implementation of `m` in `T'` has been annotated.

- Rule P5: to annotate a field, we must propagate the color to its declaration (line 24), as well as to the program locations where the field is accessed (line 25).

- Rule P6: to annotate a local variable, we must propagate the color to its declaration (line 27), as well as to the program locations where the variable is read or updated (line 28).

- Rule P7: to annotate a formal parameter, we must propagate the color to its declaration
1: \[\text{ColorPropagation}(\text{Package } p, \text{Color } c) = \]

2: \[\forall t \in \text{classes}(p) \rightarrow \text{ColorPropagation}(t, c);\]

3: \[\forall i \in \text{interfaces}(p) \rightarrow \text{ColorPropagation}(i, c);\]

4: \[\forall p = \text{import}(p.*) \rightarrow \text{cide}(p, c);\]

5: \[\text{ColorPropagation}(\text{Class } t, \text{Color } c) = \]

6: \[\forall m \in \text{meths}(t) \rightarrow \text{ColorPropagation}(m, c);\]

7: \[\forall f \in \text{fields}(t) \rightarrow \text{ColorPropagation}(f, c);\]

8: \[\forall s \in \text{extends}(t) \rightarrow \text{ColorPropagation}(s, c);\]

9: \[\forall v \in \text{hasType}(t) \rightarrow \text{ColorPropagation}(v, c);\]

10: \[\forall n = \text{new}(t) \rightarrow \text{cide}(n, c);\]

11: \[\forall p = \text{import}(t) \rightarrow \text{cide}(p, c);\]

12: \[\text{ColorPropagation}(\text{Interface } i, \text{Color } c) = \]

13: \[\forall m \in \text{impl}(i) \land \forall m \in \text{meths}(t) \land m \in i \rightarrow \text{ColorPropagation}(m, c);\]

14: \[\forall t \in \text{impl}(i) \rightarrow \text{ColorPropagation}(t, c);\]

15: \[\forall m \in \text{hasReturnType}(t) \rightarrow \text{ColorPropagation}(m, c);\]

16: \[\forall s = \text{call}(m) \rightarrow \text{cide}(s, c);\]

17: \[\forall m' \in \text{overrides}(m) \rightarrow \text{ColorPropagation}(m', c).\]

18: \[\text{ColorPropagation}(\text{Method } m, \text{Color } c) = \]

19: \[\forall s = \text{access}(f) \rightarrow \text{cide}(s, c).\]

20: \[\text{d} = \text{declaration}(f) \rightarrow \text{cide}(d, c);\]

21: \[\forall s = \text{access}(f) \rightarrow \text{cide}(s, c).\]

22: \[\text{ColorPropagation}(\text{Field } f, \text{Color } c) = \]

23: \[\forall s = \text{access}(i) \rightarrow \text{cide}(s, c).\]

24: \[\text{d} = \text{declaration}(i) \rightarrow \text{cide}(d, c);\]

25: \[\forall s = \text{access}(i) \rightarrow \text{cide}(s, c).\]

26: \[\text{ColorPropagation}(\text{LocalVariable } i, \text{Color } c) = \]

27: \[\forall s = \text{actual}(p) \rightarrow \text{cide}(s, c);\]

28: \[\forall s = \text{access}(p) \rightarrow \text{cide}(s, c);\]

29: \[\text{ColorPropagation}(\text{FormalParam } p, \text{Color } c) = \]

30: \[\forall s = \text{actual}(p) \rightarrow \text{cide}(s, c);\]

31: \[\forall s = \text{access}(p) \rightarrow \text{cide}(s, c);\]

32: \[\forall s = \text{actual}(p) \rightarrow \text{cide}(s, c).\]

Figure 4: Color propagation rules

(line 30), to the program locations where the parameter is accessed (line 31) and to the associated actual parameters (line 32).

The mechanics behind the proposed propagation rules resembles the transformations that must be applied in a program after removing a package (rule P1), a class (rule P2), an inter-
face (rule P3), and so on. In fact, the main difference is that instead of removing code, the proposed rules call the CIDE tool to mark the code with the color associated to the feature under extraction.

**Color Expansion:** Figure 5 describes the color expansion algorithm. Essentially, the algorithm consists of a loop where automatic and semi-automatic expansions – detailed in Figure 6 and Table 5 – are sequentially called. The loop finishes when a fixed-point is reached, i.e. when the invoked rules do not insert any new annotation (regarding the previous iteration).

```
ColorExpansion() =
    do
        oldcode = code;
        BodyExpansion();
        ExpExpansion();
        StmExpansion();
        MethExpansion();
        ClassExpansion();
        AssignExpansion();
        SemiAutomaticExpansions();
    while code ≠ oldcode
```

**Figure 5: Color expansion**

To propose the color expansion rules presented in Figure 6, we have examined the program structures of Java composed by a block of code \( C \) that controls or creates a context for the execution of another block of code \( S \). For example, \( S \) can denote the body of a loop statement and \( C \) can be the loop’s expression. Once such program structures have been identified, we investigated whether color expansions are possible when only one of their parts has been annotated, i.e. an expansion from \( S \) (that has been annotated) to \( C \) (that has not been annotated) and vice-versa. By following this process, the following color expansions have been proposed:

- **Rule E1:** the color used in the expression of a loop or conditional statement can be expanded to the statement’s body. The rationale is that if the expression of an `if`, `while`, `switch`, etc is not needed on a particular product, the whole statement can be removed in this product.

- **Rule E2:** the color used in the body of a loop or conditional statement can be expanded to the statement’s expression if this expression does not produce side effects. The
Rule E3: the color used in the statements of an side-effecting function.

For example, an expression might modify a variable, read data from a file, or invoke a has a side effect when it not only yields a value but also updates the program state.

If the body of an `if`, `while`, `switch`, etc is not needed on a particular product and the expressions associated to such statements are side effect free, then the whole statement can be removed in this product. In the specification of such rules, the function `free(exp)` tests whether an expression `exp` is side effect free. An expression has a side effect when it not only yields a value but also updates the program state. For example, an expression might modify a variable, read data from a file, or invoke a side-effecting function.

- Rule E3: the color used in the statements of an `else` must be expanded to include the
clause. Similarly, the color used in the expression of a return must be expanded to include the statement.

• Rule E4: the color used in the body of a method must be expanded to its signature and therefore to the whole method body and its calls, which is implemented by calling a color propagation rule. The rationale is that if a method body is not needed on a particular product, we can completely remove this method.

• Rule E5: the color used by all members of a class must be expanded to its name and therefore to any use of the class, which is implemented by calling a color propagation rule.

• Rule E6: the color used in the left-hand side of an assignment must be expanded to include its right-hand side. The rationale is that if it has already been established (or inferred) that variable i is not needed on a particular product, then assignments to i do not have any consequence, and they can be removed in the context of this particular product.

Semi-automatic Expansions: As illustrated in Figure 7, the proposed algorithm can lead to code with syntactic or type errors. For example, in the program fragment presented in Figure 7(a), the proposed color propagation and expansion rules have marked only parts of a Boolean expression (line 1). Therefore, a projection of the system without the annotated code will present syntax errors. In the second example, the return statement of the method foo has been annotated (line 3). Consequently, after removing the annotated code, a type error will be reported (no return statement). Finally, Figure 7(c) shows an example where it is not safe to apply an expansion from the body of an if statement (line 2) to the if expression (line 1). The reason is that this expression may generate side effects. In fact, the function bar() in the example updates the value of the variable y, which is used by the non-annotated code that succeeds the if statement (line 4).

In the above mentioned situations, the application of any kind of automatic expansion is a challenging task. For example, it will depend on the value of k (Figure 7(a)), on the computation performed by the non-return statements (Figure 7(b)), or on a non-trivial analysis to detect whether bar() is a side effect free function (Figure 7(c)).

When the inserted annotations lead to syntax/type errors (or when it is not trivial to expand an annotation), our CIDE extension generates a message explaining the problem.

---

3Therefore, color propagation and expansion are not sequential steps. By rules E4 and E5, color expansions can trigger color propagations, which in turn can enable new expansions and so on.
Figure 7: Examples of program fragments where annotations can generate syntax errors (a), type errors (b) or when it is not safe to apply a color expansion (c). A frame is used around the code annotated by the propagation and expansion phases.

Moreover, we suggest a default expansion to handle the detected situation, as described in Table 5. For example, the tool can suggest annotating the whole expression – to avoid a syntax error like the one presented in Figure 7(a) – or the complete method – to avoid a type error like the one presented in Figure 7(b). If the developer accepts the suggestion, the tool automatically applies it to the code. Finally, it is important to mention that semi-automatic expansions are only issued when there are no automatic expansions that can be applied.

<table>
<thead>
<tr>
<th>Definition</th>
<th>Default Expansion</th>
</tr>
</thead>
<tbody>
<tr>
<td>SE1 Only parts of an expression have been annotated with a color c</td>
<td>Annotate the whole expression with c</td>
</tr>
<tr>
<td>SE2 The \texttt{return} statements of a method have been annotated with a color c; but the method has other statements that have not been annotated with c</td>
<td>Annotate the whole method body with c</td>
</tr>
<tr>
<td>SE3 In a call to a method \textit{m}, an actual parameter has been annotated with a color c; but the associated formal parameter has not</td>
<td>Annotate the whole method call with c</td>
</tr>
<tr>
<td>SE4 The right-hand side of an expression has been annotated with a color c; but the left-hand side has not</td>
<td>Annotate the left-hand side and its references with the color c</td>
</tr>
<tr>
<td>SE5 Color expansion E2 (Figure 6) has not been applied (using a color c) because it was not possible to infer whether the expression \texttt{exp} is side effect free</td>
<td>Annotate \texttt{exp} with c</td>
</tr>
</tbody>
</table>

Table 5: Semi-automatic expansions

As prescribed by expansion SE5, we deliberately left the decision on whether color expansions should capture expressions with side effects to developers. The reasons are twofold. First, detecting side-effects statically is by nature conservative (mainly in the presence of calls to methods provided by external libraries, dynamic method calls, native method calls, an so on [30]4. Second, some side-effects can be benevolent or harmless. For example, they

---

4Our current implementation relies on a very conservative approach to detect side effects. The function
may only affect the feature’s code, without interfering with other features or with the core of the system.

5 Extraction Process

We implemented the proposed annotation algorithm on top of the CIDE tool. Our implementation assumes that developers follow the iterative process described in Figure 8 to extract an SPL.

![Iterative extraction process diagram]

Figure 8: Iterative extraction process

First, the developer must define the feature seeds. For this purpose, the developer should, for example, read the program’s documentation, interview the developers who implemented the feature’s code, browse the source code, study the program functionalities provided by the feature, etc. After choosing the seeds or at least the first candidates for seeds, the developer must execute our CIDE extension. During the execution, the tool may require feedback about semi-automatic expansions detected. In such cases, developers should decide whether the default expansions suggested by the tool should be accepted.

After the execution, the tool presents a report about the default expansions that have not been accepted. In this case, the developer should manually analyze the source code to fix the syntactic or type errors inserted when he has not accepted a default expansion.

Finally, the developer should evaluate whether the feature code has been completely marked. The degree of coverage achieved by the proposed algorithm depends on the defined \texttt{free(exp)} – called by rule E2 from Figure 6 – returns \texttt{true} only for expressions that do not have any method calls.
seeds and semi-automatic actions. Essentially, the algorithm only guarantees that any code related to the feature that is statically reachable from the defined seeds will be marked. However, there may exist code fragments that are not reachable from the initially proposed seeds. To determine whether this situation has occurred, the developer can, for example, execute the system to check that any functionality associated to the feature has really been disabled. If there are relevant code fragments that have not been annotated, he must refine the seeds and repeat the process.

6 Evaluation

We investigated the feasibility of using our approach to annotate optional features in three medium-to-large systems. More specifically, our investigation aimed to answer the following research questions:

1. How many iterations are needed in the extraction process to define seeds that lead to the annotation of features that are optional in the evaluated systems?

2. How many semi-automatic expansions are requested by the algorithm to annotate optional features in the evaluated systems?

3. When semi-automatic expansions are triggered, do developers generally accept the default actions suggested by the algorithm?

4. Can the proposed algorithm annotate precisely the parts of the code related to features that are optional in the evaluated systems? Specifically, we would like to answer whether the annotations generated by our approach correspond to code associated to a given feature (precision) and whether they cover all the code of this feature (recall).

In summary, we aim to answer whether our algorithm provides reasonable levels of precision and recall, while relying on simple feature seeds and requesting a limited number of semi-automatic expansions (preferably accompanied by meaningful default actions).

6.1 Target Systems

We have applied our approach to extract optional features in the following systems:
• Prevayler, a persistent framework for Java objects. The monolithic, non-SPL based version of the system has 2,974 (non-blanked, non-commented) LOC, 61 classes, 23 interfaces, 13 packages, and almost 162 KB of source code. The system has been used in other studies on the extraction of SPLs using AspectJ [16, 23] and AHEAD/Jak [31]. In this study, we decided to extract the following optional features: Monitor (supports logging of internal events), Replication (supports object replication between a server and multiple clients), Censorship (supports rollbacking in case of failures in transactions), Snapshot (supports database snapshots), and GZip (provides file compression support to snapshots).

• JFreeChart, a library that supports the display of a rich set of charts. The library has 91,174 LOC, distributed over 504 classes, 103 interfaces, and 37 packages, corresponding to 7.15 MB of source code. In this study, we have extracted three optional features: Pie Charts (a particular kind of chart), 3D Charts (an effect that crosscuts different charts), and Statistical Charts (such as histograms and box plots).

• ArgoUML, an open-source UML modeling tool. The tool has 117,983 LOC, distributed over 1637 classes, 93 interfaces, and 79 packages, corresponding to 8.95 MB of source code. In this study, we have extracted four features from the monolithic version of the system: State Diagrams, Activity Diagrams, Logging, and Design Critics (simple agents that continuously execute in a background thread of control, suggesting improvements in the UML design).

To select the features to be extracted in each system, we considered two types of features: relevant functional requirements (e.g. UML models in ArgoUML, charts in JFreeChart and database operations in Prevayler) or typical non-functional requirements (such as logging in the Prevayler and ArgoUML experiments). Furthermore, we selected the Design Critics feature for ArgoUML due to its size and crosscutting behavior, that we noted by browsing the system's code before starting the experiment.

### 6.2 Study Design

We performed the following steps to answer the proposed research questions:

1. To provide a baseline for comparison, we decided to rely on a manual annotation of the code related to the features considered in the study. For Prevayler and JFreeChart,
the manual extraction was conducted by one of the paper’s authors. For ArgoUML – the most complex system considered in the study – we derived the baseline from an SPL-based implementation of the system using conditional compilation [10]. This implementation was independently constructed by a software developer with no knowledge of our algorithm. To enable comparisons, we implemented a tool to automatically import preprocessor based SPLs to CIDE. Essentially, this tool annotates the blocks of code delimited by #ifdefs with colors informed by the developer.

2. In all cases, before starting the extraction, the developers studied the target system’s architecture and documentation. Furthermore, after they considered the extraction finished, they also executed the system several times, to confirm that the features have been extracted (i.e. that their functionality has really been disabled, without any impact on the core’s semantics). Table 6 provides information about the amount of source code annotated for each system and for the evaluated features. Observe that the considered features required annotations to 22.6% of Prevayler’s source code, 12.1% of JFreeChart’s code, and 20.0% of ArgoUML’s code.

<table>
<thead>
<tr>
<th>System</th>
<th>Size (MB)</th>
<th>Features</th>
<th>% Size</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prevayler</td>
<td>0.16</td>
<td>Monitor</td>
<td>4.0</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Replication</td>
<td>6.7</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Censoring</td>
<td>2.7</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Snapshot</td>
<td>6.9</td>
</tr>
<tr>
<td></td>
<td></td>
<td>GZip</td>
<td>2.3</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Total</td>
<td>22.6</td>
</tr>
<tr>
<td>JFreeChart</td>
<td>7.15</td>
<td>Pie Charts</td>
<td>5.1</td>
</tr>
<tr>
<td></td>
<td></td>
<td>3D Charts</td>
<td>2.3</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Statistical Charts</td>
<td>4.7</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Total</td>
<td>12.1</td>
</tr>
<tr>
<td>ArgoUML</td>
<td>8.95</td>
<td>State Diagrams</td>
<td>3.7</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Activity Diagrams</td>
<td>1.7</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Design Critics</td>
<td>13.3</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Logging</td>
<td>1.3</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Total</td>
<td>20.0</td>
</tr>
</tbody>
</table>

Table 6: Bytes annotated in the manual extraction

---


9CIDE supports the importing of SPLs implemented using the Antenna preprocessor (http://antenna.sourceforge.net). However, ArgoUML-SPL uses a preprocessor called javapp (http://www.slashdev.ca/javapp). Because the syntax of the directives defined by javapp and Antenna have minor differences, we have implemented our own importing tool.
3. We have applied the extraction process described in Section 5 to annotate the same features considered in the manual extractions. In the case of Prevayler and JFreeChart, the extraction was assigned to an author of the paper different from the one who performed the manual extraction.

4. To answer our first three research questions, we have collected the following data on the extraction of each system: (a) the number of iterations, (b) the number of semi-automatic expansions request by the algorithm, and (c) the number of default actions accepted by the developers in charge of the extraction.

5. To answer the fourth research question, we measured the precision and recall of our approach after completing the extraction process for each system, considering the manual extraction as a baseline. The purpose of precision is to measure whether the proposed approach has been able to annotate relevant code (i.e. code marked in the manual extraction). Conversely, recall measures whether our approach has been able to cover all the code related to the feature’s implementation. We have calculated precision and recall in the following way:

\[
\text{precision} = \frac{\text{number of bytes annotated in both extractions}}{\text{number of bytes annotated in the semi-automatic extraction}}
\]

\[
\text{recall} = \frac{\text{number of bytes annotated in both extractions}}{\text{number of bytes annotated in the manual extraction}}
\]

In the rest of this section, we present the results achieved by our approach. For each system, the presentation of the results is organized into the following subsections: extraction process (where we provide answers to our first research question), semi-automatic expansions (where we discuss the findings on our second and third research questions), and precision/recall (where we address the fourth research question).

6.3 Prevayler

**Extraction Process:** After browsing Prevayler’s API, the author in charge of the extraction process initially selected the following packages as seeds:

- Snapshot: package org.prevayler.implementation.snapshot.

By executing the demos included in the system distribution, the developer verified himself that the annotations have removed the mentioned features from the system. In particular, logging messages and snapshot updates were no longer generated. Furthermore, the code fragments that request a rollback have been marked. Finally, Prevayler has a demo that relies on the Replication feature to synchronize the database from a client application (called MainReplica) with the database from a server application. By executing a projection of this demo without Replication, the developer verified that the synchronization service had been suspended. In particular, in the console of the MainReplica the following message has been issued: Trying to find server on localhost. The next message normally presented in this case – reporting a successful connection with the server – was not issued. Therefore, after this first iteration, the developer considered the extraction process finished.

**Precision/Recall:** Table 7 presents the total number of bytes annotated in the manual and automatic extractions. As can be observed, our approach achieved 100% precision for all five features. Regarding the recall, our approach achieved 100% coverage for Monitor, Censorship, and GZip. For Replication, the recall was 0.94. In this case, the non-marked code included four fields from the PrevaylerFactory class and their respective accessor and setter methods. These fields store the IP address and port number of the server application. Because the demo considered in the study assumes the server is on the localhost, such fields were not accessed during its execution.

For Snapshot, the recall was 59%. In this case, the non-marked code included the members of the classes PrevaylerFactory and PrevaylerDirectory, which are responsible for configuring snapshot parameters (including file directory and name, serialization strategy, and so on). Essentially, the non-marked code can be considered a client of the snapshot main code. For this reason, it was not annotated.

### 6.4 JFreeChart

**Extraction Process:** After analyzing JFreeChart’s API, the author in charge of the extraction observed that the program elements related to Pie Charts have the substring Pie in their names. Therefore, he decided to use the regular expression *Pie* as the seed for this
feature. In a similar way, the regular expression *3D* was selected for the feature 3D Charts. Using such seeds, it was possible to extract both features in a single iteration.

Unlike the previous features, the developer in charge of the extraction did not detect any naming pattern related to the feature Statistical Charts. Therefore, he decided to use the package org.jfree.data.statistics as the initial seed for this feature. However, the execution of the algorithm with this seed resulted in 430 semi-automatic expansions. By analyzing such expansions, the developer found that most were from six classes, which have a prefix Statistical (e.g. class StatisticalBarRenderer) or BoxAndWhisker (e.g. class BoxAndWhiskerToolTipGenerator). By browsing the JFreeChart’s API, he found that these classes are also responsible for the implementation of statistical charts. Therefore, he decided to execute the algorithm again, extending the previous seed with the regular expressions *Statistical* and *BoxAndWhisker*. In this second execution, only 39 semi-automatic expansions were raised (in classes not directly related to Statistical Charts). Therefore, the developer considered the extraction process complete.

To test the extracted SPL, the developer relied on a set of client programs, including demos distributed with JFreeChart. The demos that plot Pie Charts, 3D Charts or Statistical Charts stopped compiling when a projection of the framework was generated with the mentioned features disabled.

**Semi-automatic Expansions:** Table 8 presents the number of semi-automatic expansions reported during the described extraction process. For the features Pie Charts and 3D Charts, 21 and seven semi-automatic expansions were reported, respectively. For Statistical Charts, 39 semi-automatic expansions required authorization. For all three features, all the default actions suggested by the algorithm were accepted.

**Precision/Recall:** Table 9 presents the number of bytes annotated in the manual and automatic extractions. As in the Prevayler experiment, our approach achieved 100% preci-

<table>
<thead>
<tr>
<th>Feature</th>
<th>Bytes</th>
<th>Precision</th>
<th>Recall</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monitor</td>
<td>M−A=0</td>
<td>M∩A=6,725</td>
<td>A−M=0</td>
</tr>
<tr>
<td>Censorship</td>
<td>0</td>
<td>4,393</td>
<td>1</td>
</tr>
<tr>
<td>Replication</td>
<td>715</td>
<td>11,175</td>
<td>0.94</td>
</tr>
<tr>
<td>Snapshot</td>
<td>4,628</td>
<td>6,880</td>
<td>0.59</td>
</tr>
<tr>
<td>GZip</td>
<td>0</td>
<td>3,866</td>
<td>1</td>
</tr>
</tbody>
</table>

Table 7: Prevayler results (M= manual extraction; A= annotation algorithm)
### Table 8: Semi-automatic expansions for JFreeChart

<table>
<thead>
<tr>
<th>Rule</th>
<th>Pie Charts</th>
<th>3D Charts</th>
<th>Statistical Charts</th>
</tr>
</thead>
<tbody>
<tr>
<td>SE1</td>
<td>10</td>
<td>2</td>
<td>18</td>
</tr>
<tr>
<td>SE2</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>SE3</td>
<td>8</td>
<td>4</td>
<td>4</td>
</tr>
<tr>
<td>SE4</td>
<td>3</td>
<td>1</td>
<td>13</td>
</tr>
<tr>
<td>SE5</td>
<td>0</td>
<td>0</td>
<td>4</td>
</tr>
<tr>
<td>Total</td>
<td>21</td>
<td>7</td>
<td>39</td>
</tr>
</tbody>
</table>

The recall was 0.99 for all three features; in a small number of situations, the algorithm was not able to reach the manually marked-code. As illustrated in Figure 9, for **Pie Charts**, for example, the algorithm was able to annotate all the statements of a method called `isEmptyOrNull`, which verifies if a particular set of pie charts is empty or null, except for a single statement that returns `true`. In a similar way, for **Statistical Charts**, it was able to annotate all the statements of a method called `drawItem`, which plots items of a particular type of statistical dataset, except the first statements that test whether this dataset is visible on the display. The developer in charge of the manual extraction annotated the whole method bodies in both examples.

### Table 9: JFreeChart results (M= manual extraction; A= annotation algorithm)

<table>
<thead>
<tr>
<th>Feature</th>
<th>Bytes</th>
<th>Precision</th>
<th>Recall</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$M - A$</td>
<td>$M \cap A$</td>
<td>$A - M$</td>
</tr>
<tr>
<td>Pie Charts</td>
<td>1,005</td>
<td>383,165</td>
<td>0</td>
</tr>
<tr>
<td>3D Charts</td>
<td>382</td>
<td>172,444</td>
<td>0</td>
</tr>
<tr>
<td>Statistical Charts</td>
<td>2,904</td>
<td>348,654</td>
<td>0</td>
</tr>
</tbody>
</table>

6.5 ArgoUML

**Extraction Process:** Table 10 describes the feature seeds considered in the ArgoUML case study. Essentially, the developer has selected as seeds the whole packages responsible for the implementation of the feature. Finding such packages was not difficult, because their names usually include the feature’s name. For example, the packages selected for design critics have the substring `cognitive` in their names. In most cases, a second iteration was required to include in the seeds a UI’s listener class (e.g. `org.argouml.uml.ui.ActionStateDiagram`).

---

10ArgoUML’s developers claim that design critics is an attempt to support UML designers in cognitive tasks, including decision-making, decision ordering, and task-specific design understanding [37].
Figure 9: Example of incomplete method body annotation in JFreeChart

In this third study, the feature ActivityDiagram depends on StateDiagram. In fact, activity diagrams are usually described as a specialized form of state diagrams. In the ArgoUML implementation, this dependency is manifested by many classes responsible for activity diagrams inheriting from classes related to state diagrams.

<table>
<thead>
<tr>
<th>Feature</th>
<th>Seeds</th>
<th># Iterations</th>
</tr>
</thead>
<tbody>
<tr>
<td>State Diagram</td>
<td>Two packages and two classes</td>
<td>2</td>
</tr>
<tr>
<td>Activity Diagram</td>
<td>Three packages and one class</td>
<td>1</td>
</tr>
<tr>
<td>Design Critics</td>
<td>Ten packages and two classes</td>
<td>2</td>
</tr>
<tr>
<td>Logging</td>
<td>One package</td>
<td>2</td>
</tr>
</tbody>
</table>

Table 10: Feature seeds and number of iterations in the ArgoUML case study

**Semi-automatic expansions:** Table 11 presents the number of semi-automatic expansions (SE) reported during the ArgoUML extraction process. Two observations should be made about the presented values. First, the number of SEs is significant, at least in absolute terms (295 SEs, almost half of them associated to Design Critics). However, such numbers represent, on average, one SE reported for each 6.18 KB of source code annotated in the extraction. We consider this average fully acceptable, when compared with the amount of work required to annotate the same features manually.

Second, the developer did not accept the default actions associated with the proposed semi-automatic expansions in only three cases. For example, the default action associated to
<table>
<thead>
<tr>
<th>Rules</th>
<th>State</th>
<th>Activity</th>
<th>Critics</th>
<th>Logging</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>SE1</td>
<td>20(1)</td>
<td>18(1)</td>
<td>33</td>
<td>2</td>
<td>73</td>
</tr>
<tr>
<td>SE2</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>SE3</td>
<td>23</td>
<td>7</td>
<td>35</td>
<td>1</td>
<td>66</td>
</tr>
<tr>
<td>SE4</td>
<td>49</td>
<td>14</td>
<td>44</td>
<td>1(1)</td>
<td>108</td>
</tr>
<tr>
<td>SE5</td>
<td>8</td>
<td>1</td>
<td>24</td>
<td>15</td>
<td>48</td>
</tr>
<tr>
<td>Total</td>
<td>100</td>
<td>40</td>
<td>136</td>
<td>19</td>
<td>295</td>
</tr>
</tbody>
</table>

Table 11: Semi-automatic expansions for ArgoUML (non-accepted default actions are indicated within parentheses)

an expansion SE4 was not accepted for the following code:

1: cls=\org.apache.log4j.Logger.class;
2: ....
3: cls= Class.forName("org.netbeans.api.MDRManager");

In this example, a projection of the system without the marked code (line 1) will result in a syntax error. On the other hand, the default action for SE4 is “annotate the left-hand side and its references”. However, in this particular case, it is not possible to authorize the propagation of the annotation because the local variable \texttt{cls} is reused to store other \texttt{Class} values in the subsequent assignments (as in line 3). For this reason, the developer did not accept the default action suggested by our tool. Instead, he manually marked only the assignment in line 1.

\textbf{Precision/Recall:} Table 12 presents the total number of bytes annotated in the manual and automatic extractions. For Activity Diagrams, Design Critics, and Logging, both the precision and the recall exceeded 89%, which we consider a positive result for a semi-automatic approach. Essentially, the recall was not 100% due to limitations of the defined seeds in reaching all parts of the manual marked code. For example, the seeds chosen for Design Critics were not able to mark a class that implements a parser that reads a ToDo list from a XML file (because in principle this parser is able to deal with any XML document). On the other hand, the precision was not 100% because in many parts of the code the developer in charge of the manual extraction did not expand an annotation to its enclosing context. For example, in many situations, he annotated the whole body of a method, but did not expand the annotation to the method’s declaration and calls. To reach a consensus, we discussed the detected divergences with this developer, and he has agreed that a broader annotation would be the recommended solution in such cases. In summary, the precision was not 100%
due to non-optimal annotations carried in the manual extraction, which is a normal event in
any repetitive process conducted by humans.

<table>
<thead>
<tr>
<th>Feature</th>
<th>KB</th>
<th>Precision</th>
<th>Recall</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(M - A)</td>
<td>(M \cap A)</td>
<td>(A - M)</td>
</tr>
<tr>
<td>State Diagram</td>
<td>38.4</td>
<td>290.8</td>
<td>42.1</td>
</tr>
<tr>
<td>Activity Diagram</td>
<td>6.3</td>
<td>142.3</td>
<td>9.4</td>
</tr>
<tr>
<td>Design Critics</td>
<td>54.5</td>
<td>1,211.7</td>
<td>8.8</td>
</tr>
<tr>
<td>Logging</td>
<td>3.7</td>
<td>106.8</td>
<td>12.6</td>
</tr>
</tbody>
</table>

Table 12: ArgoUML results (M= manual extraction; A= annotation algorithm)

For State Diagrams, the recall was 88% due to the defined seed’s inability to reach some
parts of the feature’s code. The precision was 87%, but for a different reason. Because ActivityDiagram depends on StateDiagram, our algorithm has propagated StateDiagram’s color to program elements related to ActivityDiagram. For example, the class ActivityDiagramRenderer has been marked because it is a subclass of StateDiagramRenderer. The justification for this propagation is that our algorithm assumes that it is not possible to derive a product with StateDiagram disabled, but with ActivityDiagram enabled. However, by using logical operators in #ifdef expressions, the developer in charge of the manual extraction figured out a strategy to enable such product configurations. First, he did not expand StateDiagram’s color to classes like ActivityDiagramRenderer, which explains the existence of 42.1 KB of source code annotated only by our algorithm (and therefore the 87% value for precision). Second, he used logical expressions such as \texttt{STATE || ACTIVITY} in the #ifdefs that delimit classes like StateDiagramRenderer. In this way, he could guarantee that such classes will be included in products where \texttt{STATE=FALSE}, but \texttt{ACTIVITY=TRUE}.

6.6 Discussion

Our annotation approach has contributed to reduce the time and effort required for the ex-
traction of the features considered in the case studies. For example, the developer in charge
of the manual extraction dedicated an entire month to understanding ArgoUML’s design
and implementation and to annotate the code with #ifdefs (working on average eight hours
per day). Furthermore, an extra five days were dedicated to testing and fixing errors in the
pruned code. On the other hand, using the semi-automatic approach, we were able to
extract a product-line for ArgoUML in five days, including two days for understanding its
implementation and three days for applying the proposed extraction process (including the
definition of the seeds, the mapping of feature dependencies, the execution of the algorithm,
and the evaluation of semi-automatic expansions). It is also important to highlight that the semi-automatic extraction was not followed by a testing phase. Instead of testing the annotated code, we have compared it with the manually marked code, to calculate the precision and recall results previously presented on this section. However, we can estimate that the effort required for testing the product line would be similar to that required in the case of manual extraction.

Despite such encouraging results, the proposed approach presumes reasonable knowledge of the structure of the target system and particularly about the implementation of the features under extraction. Essentially, this knowledge is required to define the feature seeds, authorize semi-automatic expansions, detect subtle feature interactions, and systematically test the SPL core and products. In other words, we should not assume that developers will be able to execute the annotation algorithm without a minimal knowledge of the internals of the target program. In fact, we envision the proposed extraction process being adopted by the developers of the non-SPL based version of the target system.

In the remainder of this section, we summarize other lessons learned through our case studies:

- The selection of the seeds is critical to the success of the proposed algorithm. Ideally, it should be possible to reach any code associated to the features under extraction from the defined seeds. For this reason, natural candidates for seeds are packages fully dedicated to the implementation of the features. For example, for Design Critics in the ArgoUML study, we selected ten packages with the substring cognitive in their names. By contrast, it is more challenging to apply the proposed approach when the feature code is not confined to a small number of related packages or when the feature code does not follow a particular naming convention. For example, the classes responsible for Statistical Charts in JFreeChart are distributed over three non-related packages (data.statistics, chart.labels, and chart.renderer). Initially, the developer provided only the first package as seed. He was only able to detect the need to include the remaining packages due to the high number of semi-automatic expansions triggered in the first execution of the algorithm. If the developer had finished the extraction in the first execution, the recall rate would have been of 71.5% (instead of the reported 99% rate achieved after refining the seeds to include the classes in the other packages).

- The default expansions suggested to handle semi-automatic expansions have proved very useful. For example, in the ArgoUML example, 292 out of 295 default expansions

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11Therefore, in this discussion, we refer to the software developers in charge of extracting an SPL simply as developers.
have been accepted. We explain the large number of default expansions accepted as follows. First, for SE1 to SE4, refusal scenarios usually occurred when multiple colors were tangled in the same program abstractions. For example, the rejection of the default expansion associated to SE1 means that two or more colors are tangled in an expression. Similarly, failure to accept SE4’s default expansion may be explained by the reuse of a local variable to store values generated by code associated to more than one feature. In general, these situations involve code that is more difficult to understand and evolve (or even programming practices that should be avoided, such as the reuse of variables). Therefore, we could explain the massive number of authorized default actions for SE1-SE4 by the fact that developers – at least the developers of the three evaluated systems – have a tendency to avoid the (re)use of program abstractions (e.g. expressions, functions, local variables, etc) to denote values associated to different features. Finally, for the default expansions associated to SE5, the explanation is different. In this particular case, default expansions are usually accepted because it is a bad programming practice to have expressions with subtle side-effects in loop or conditional statements.

- As mentioned in Section 3, our approach delegates to developers the following tasks: (a) to make sure that the features under extraction are optional (i.e. that removing their code will not affect the core), and (b) that dependencies between optional features are managed externally by developers. For example, in the ArgoUML study ActivityDiagram depends on StateDiagram. Our approach assumes that this dependency should be discovered and managed by SPL developers. In particular, developers should ensure that products will never be delivered with ActivityDiagram enabled and StateDiagram disabled. However, it is not impossible to obtain such a product. In fact, the developer in charge of the manual extraction was able to generate such a product. However, for this purpose, he had to define Boolean expressions for some #ifdef directives. Nevertheless, our solution, and, in fact, the CIDE tool, are not able to associate logical expressions to blocks of code implementing optional features.

- Annotation-based and composition-based approaches are not mutually exclusive. In particular, composition-based mechanisms can provide the well-known benefits of modular programming (such as parallel development, separate compilation, reusability, etc). We also claim that sophisticated composition-based mechanisms – including aspects – have their uses, particularly in handling homogeneous crosscutting concerns, such as profiling and transactions [44]. Finally, even when aspects are recommended, our an-
notation algorithm can help to identify candidate code for aspect-oriented refactorings.

- The proposed extraction process aims the extraction of a first SPL-based implementation of an existing system. Therefore, after this first SPL has been extracted, developers should maintain and evolve the annotations accordingly. In the case of changes within the limits of an annotated code fragment, CIDE automatically annotates the changed or inserted elements. However, in the case of changes affecting non-marked parts of the code (e.g., inserting a new call to a method associated to a given feature, in a non-marked part of the code), developers should manually annotate the new program elements. The same happens to code evolved due to refactoring operations. To better handle evolution issues, we plan to extend our tool with a form of “continuous annotations”, i.e. whenever evolved code matches the defined seeds it will be automatically annotated.

6.7 Threats to Validity

Four main factors can affect the validity of the results presented in this paper. First, our three subject programs might not be representative of all product line extraction scenarios. We are aware of this threat and to minimize it we decided to evaluate two well-known and complex open-source systems (JFreeChart and ArgoUML) and a system that has been previously used in other studies of SPL extraction (Prevayler). The second threat is related to the validity of the extracted features. For example, it is possible that we have accidentally selected features that better (or worse) fit the requirements of our approach. To mitigate this threat, we decided to select two kinds of features: functional features related to the core domain of each target system (e.g. charts in JFreeChart and diagrams in ArgoUML) or typical non-functional concerns (e.g. logging in the Prevayler and ArgoUML).

As a third threat, in the Prevayler and JFreeChart studies, the manual extraction has been conducted by one of the paper’s authors (i.e. a developer with full knowledge of our approach and its limitations). To minimize this possible threat, we conducted a third-case study, involving larger and more complex features than in the previous systems. More importantly, in this third study, our semi-automatic approach was contrasted with a manual extraction conducted independently by a software developer with no knowledge about our work.

Finally, our approach depends on feedback and input provided by the target system developers. For example, developers should define the feature seeds and map feature dependencies. Manual effort is also required to test product specific code and to approve semi-automatic expansions.
7 Related Work

Work related to our research can be divided into five main groups: experience reports, tool support, type systems, feature interaction, program understanding, and program slicing.

**Experience Reports:** Lopez-Herrejon, Batory and Cook have evaluated the modularization of features using five technologies: AspectJ, Hyper/J, Jiazzi, Scala, and AHEAD [32]. As a case study, they used a simple expression-product line, including variabilities such as data types and operations. Because it is very simple, the implementation of this SPL did not require fine-grained extensions, as those that are supported only by annotation-based approaches, such as preprocessors and CIDE.

Kästner, Apel and Batory have documented the extraction of features from a database management system (Berkley DB) using aspect-oriented programming (AspectJ) [23]. Because the Berkley DB is an industrial-sized and complex system, they faced many problems in the extraction, mainly due to AspectJ’s limitations to handle fine-grained variabilities. For example, features that require extensions in nested statements cannot be directly extracted to aspects. In such situations, they had to insert hook methods in the code just to enable join points that can be instrumented by AspectJ. The need to rely on hook methods when using compositional technologies – such as AspectJ – has also been reported by Murphy et al. in another study on the extraction of features [33]. Finally, the same limitations have been cited by Adams et al. in a study that refactored conditional compilation to aspects [1].

Godil and Jacobsen have previously used aspect-oriented programming to extract a set of features from Prevayler [16]. Although not detailed in the work, their modularization presents the same problems reported by Kästner et al. for the Berkley DB study, including complex and fragile pointcuts and obfuscated code due to hook methods.

Bruntink et al. describe an in-depth study involving the characterization of the tracing concern in a legacy system with about 15 million lines of C code [7]. Although tracing is usually considered a “simple concern”, they pointed out that this industrial sized implementation presents remarkable variabilities. The observed variabilities have been classified as accidental (e.g. improper use of idioms) or essential (e.g. particular needs of a subsystem). Furthermore, they state that the extraction of accidental variabilities to aspects can lead to implementations with a small degree of quantification (one-aspect-per-function implementations). However, this problem does not exist if annotation-based solutions are used, as in this case, the modularization is virtual (i.e. there is no physical extraction of crosscutting concerns to separate modules). For this reason, SPL extraction methods using annotation technologies is more suited to automation.
**Tool Support:** Liu, Batory, and Lengauer describe a formal approach to feature-oriented refactoring [31]. The proposed approach, which is based on the composition language AHEAD [3], has been used to extract features from the Prevayler persistence framework. Despite the fact that AHEAD only supports coarse-grained method extensions, the authors do not detail the enabling transformations that were required in the base program to extract features to refinements (the modularization units supported by AHEAD). They only mention that statement reorderings have been required in some situations.

Binkley et al. developed the AOP-Migrator tool, an Eclipse plugin that automates six refactorings commonly used to support migration from OOP to AOP [5, 6]. However, when the object-oriented code does not fulfill the pre-conditions assumed by the supported refactorings, developers should manually transform the code to enable the refactorings. These transformations, called object-oriented transformations, typically include statement reorderings (e.g. moving a statement to the beginning of a method’s body) or method extraction (to enable a join point). FLiP is another tool for extracting product variations from Java classes into AspectJ aspects [40]. Therefore, it has the same limitations we have previously observed for the AOP-Migrator tool.

Change-Oriented Programming (ChOP) is a FOP-based programming approach in which features are represented by a set of changes applied to a base system [13, 12]. As in the case of CIDE-based product lines, ChOP requires the instrumentation of an IDE, but this time to generate editing logs. Therefore, the main difference between our approach and ChOP is that our annotation algorithm does not depend on any previous information about the evolution of the target system, whereas ChOP requires a complete record generated by an instrumented IDE.

In previous work, we have demonstrated the importance of object-oriented transformations using four medium-sized systems as case studies [35, 34]. We have also designed a tool, called TransformationMapper, to guide developers in the application of object-oriented transformations. On the other hand, by relying on an annotative-approach, the feature-extraction algorithm described in this paper does not impose any pre-conditions to the base code. In other words, object-oriented transformations are not needed, which has been crucial to achieve the degrees of automation observed in our case studies. Furthermore, our approach does not require the implementation of “infrastructure code”, such as pointcut descriptors and advice signatures. Finally, in our approach, annotations can be performed one feature at a time, without affecting the features already annotated or the system’s core.
**Type Systems:** Kästner and Apel formalized a type system that guarantees that all variants from an SPL are well-typed [22]. For example, their type system can be used to detect situations such as dangling method calls (i.e. methods removed in a variant, but that are still called). Not surprisingly, the proposed type rules – defined for an extension of FeatherWeight Java [20] – resemble the color propagation phase from our annotation algorithm. In fact, the purpose of color propagation is precisely to remove all references to the defined seeds from the codebase, thereby eliminating the risk of variants carrying dangling references. Other approaches to check that all programs in an SPL are type safe have been proposed by Thaker et al. [43] and Czarnecki and Pietroszek [11].

**Feature Interaction:** The implementation of one feature may interfere with other features, which is a recurrent problem in many domains, such as in telecommunication systems. For this reason, several techniques have been proposed to detect feature interactions. Such techniques can generally be classified as off-line (i.e. techniques applied at design-time) or on-line (i.e. techniques applied while the features are actually running) [29]. Off-line techniques concentrate on the use of formal methods to both validate benign interactions and detect unpredicted ones. When formal methods are applied, unexpected interactions usually lead to the inconsistency or unsatisfiability of the (logical) formulas describing the core service and its features [8]. On the other hand, on-line techniques usually advocate the existence of a central component (a feature manager) to regulate the activation and deactivation of features at run-time. As mentioned on Section 3, we regard feature interactions as an orthogonal problem to our annotation approach. Essentially, our goal is to mark code in order to bootstrap existing products into an SPL. In particular, our assumption is that the given feature seeds denote optional components in the product under extraction. Therefore, removing such components must not have an unexpected effect on the core semantics.

**Program Understanding Tools:** Several approaches have been proposed to help developers and maintainers to manage concerns and features. For example, concern graphs model the subset of a software system associated with a specific concern [38, 39]. The main purpose is to provide developers with an abstract view of the program fragments related to a concern. FEAT is a tool that supports the concern graph approach by enabling developers to build concern graphs interactively, as result of program investigation tasks. Aspect Browser [17] and JQuery [21] are other tools that rely on lexical or logic queries to find and document code fragments belonging to a certain concern (or feature). Like CIDE, these program investigation tools are based on logical views of the source code (i.e. they do not provide a
means to separate concerns in physical modules). On the other hand, they cannot be used to annotate and to generate projections of the source code without the code for a given feature, which is crucial when extracting SPLs.

**Program Slicing:** Slicing is a technique for simplifying programs, typically by computing all statements that may affect the value of a variable at a specific program location (and removing the remaining statements) [46, 19]. Therefore, slicing may help with program comprehension, because it preserves the semantics of the original program while reducing its size. However, slices may include much more information than is reasonable in a software maintenance task. This is particularly the case in highly cohesive programs, in which the value of a variable may affect many other variables. For example, Harman et al. have recently demonstrated the prevalence of large dependence clusters (i.e. mutually inter-dependent statements) in C programs, which has a clear impact on program comprehension [18].

To summarize, most recent research on SPL implementation has been centered on composition-based approaches, mainly AOP and FOP. On the other hand, there are few reports on the design of tools to avoid the problems associated with annotation-based product lines. The recently proposed CIDE tool is an exception to this general rule. Therefore, in this paper, we have investigated an algorithm for feature extraction based on disciplined and visual annotations, as supported by the CIDE tool.

## 8 Conclusions

In this paper, we have made the following contributions:

- We proposed a semi-automatic approach to annotate variabilities in SPLs. Our approach relies on the CIDE tool to annotate the lines of code in charge of implementing the variabilities from a given SPL. Initially, developers must provide a set of feature seeds and a color. The proposed approach propagates this color by the program locations that reference the provided seeds in a direct or indirect way. Whenever possible and safe, it also expands the color by the lexical context of the elements already annotated. When expansions are required but their safety cannot be easily inferred, our approach suggests default expansions to be approved by developers.

- We successfully applied the proposed algorithm to three non-trivial systems. In all cases, our algorithm achieved recall and precision rates ranging from 59% to 100%.
The case studies have demonstrated the importance of the feature seeds selection and the importance of having client programs that exercise all the services provided by the features. In particular, such client programs are critical for detecting unmarked code and therefore to trigger a new iteration in the extraction process.

- We argued that the proposed algorithm provides degrees of automation well beyond the existing tools for feature extraction. The main reason is that such tools are based on compositional languages, such as AspectJ and Jak. Therefore, they are directly affected by the coarse-grained model of program extensions supported by such languages [24]. To make the object-oriented code conform to this model, compositional approaches require the transformation of the code in a way that cannot be easily automated by any tool [35, 34]. To the best of our knowledge, the proposed solution is the first algorithm for feature extraction that relies on an annotative approach.

The proposed approach is not recommended for optional features whose implementation heavily affects user-interface concerns. In such cases, removing the feature may compromise the layout and usability of the interface. It is also not recommended for features coupled to external resources, such as databases. Furthermore, the current implementation of the proposed annotation algorithm does not handle features associated to the following Java elements: exceptions, enums, and generic types. We have plans to support such elements in further versions.

In the near future, we also have plans to apply the proposed algorithm to other case studies. Finally, our current implementation is limited to the pure-Java based version of CIDE. Therefore, we have plans to extend and adapt it to other languages.

Our CIDE extension is available for downloading from: http://www.dcc.ufmg.br/~mtov/cideplus.

Acknowledgments: This research has been supported by grants from FAPEMIG, CAPES, and CNPq. We thank Christian Kästner and Sven Apel for their feedback on an earlier draft of this paper and Rogel Garcia for helping us with the algorithm implementation. We also thank the anonymous referees and the associated editor for valuable insights and comments on earlier versions of this paper.

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