

Dual Parametrization of Weighted Coloring

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joint work with

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Weighted Coloring

We are given a graph G together with a weight function $w : V(G) \rightarrow \mathbb{R}^+$.

A (proper) k -coloring of G is a partition $c = (S_i)_{i \in [1,k]}$ of $V(G)$ into k stable sets S_1, \dots, S_k .

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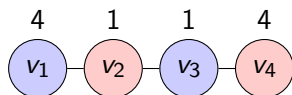
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For a positive integer r , we define

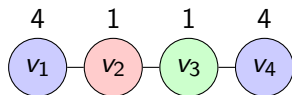
$$\sigma(G, w; r) = \min\{w(c) \mid c \text{ is a proper } r\text{-coloring of } G\}.$$

Some authors call it Max Coloring!

Example



$$w(c) = w(S_1) + w(S_2) = 8$$



$$w(c) = w(S_1) + w(S_2) + w(S_3) = 6$$

$$\sigma(P_4, w) = 6$$

$$\sigma(P_4, w; 2) = 8$$

Remark

If $G = (V, E, w)$ has all the weights equal to 1:

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- $\sigma(G, w) = \chi(G)$;
- Hardness results hold for $\sigma(G, w)$.

Some complexity results up to 2006

Polynomial

- Bipartite graphs with 2 different weights [Demange et al. 2002]
- P_5 -free bipartite graphs [Demange et al. 2005]
- P_4 -free graphs (i.e., Cographs) [Demange et al. 2002]

NP-complete

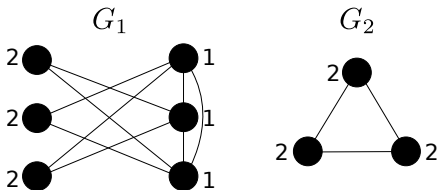
- Interval graphs [Escoffier et al. 2005]
- Bipartite graphs with $\Delta(G) \leq 14$ [Demange et al. 2002]
- Triangle-free planar graphs with $\Delta(G) \leq 4$ [Demange et al. 2005]
- Split graphs [Demange et al. 2002]
- $\Rightarrow P_5$ -free graphs

Approximation algorithms, etc.

P_4 -sparse graph

$G = (V, E)$ is P_4 -sparse if $\forall H \subseteq V$ s. t. $|H| \leq 5$ induces at most one P_4 .

Problem with the disjoint union! \rightarrow an optimal coloring of $G = G_1 \cup G_2$ is not given by optimal colorings of G_1 and G_2 .



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Theorem (A., Linhares Sales, Sau, 2007)

$\sigma(G, w)$ can be computed in poly time for a *subclass* of P_4 -sparse graphs.

Theorem (A., Linhares Sales, Sau, 2007)

There is a 2-approx. algorithm to $\sigma(G, w)$, for P_4 -sparse graphs G .



Hajós-like Theorem

Theorem (A., Linhares Sales, 2007)

$\sigma(G, w) \geq k$ if, and only if, G contains a *weighted* k -constructible subgraph.



Open problem

Is Weighted Coloring **polynomial** on **trees/forests**?
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Some partial results:

- **PTAS** on bounded treewidth graphs. [Escoffier, Monnot, Paschos. 2006]
- **Polynomial** on the class of trees where vertices with degree at least three induce a stable set. [Kavitha, Mestre. 2012]
 - ▶ Some improvements for subclasses of trees in [Benkoczi, Dahal, Gaur, 2016]

Complexity of weighted coloring on trees (or forests)

On an n -vertex graph of treewidth t , $\sigma(G, w; r)$ can be computed in time

$$n^{O(r)} \cdot r^{O(t)}.$$

[Guan, Zhu. 1997]

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For any graph G , it holds that $\chi_{\text{FF}}(G) = O(t \log n)$. [Linhaires, Reed. 2006]

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\implies Weighted Coloring can be solved on forests in time
 $n^{O(\log n)} = 2^{O(\log^2 n)}$ (quasi-polynomial).

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Unless the *ETH* fails, there is no algorithm computing the weighted chromatic number of n -vertex *trees* in time $n^{o(\log n)}$.



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That is, the running time $n^{O(\log n)}$ is tight under the ETH.

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Indeed, it is well-known that

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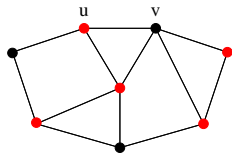
The theory of **parameterized complexity** is built based on **FPT \neq W[1]**.

W[1]-hardness: strong evidence of **not being** FPT.

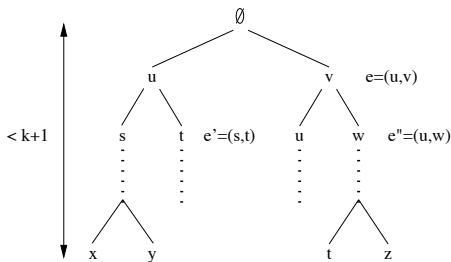
W[2]-hardness: even more!

Example of an FPT Algorithm

k -Vertex Cover: Does a graph G contain a set $S \subseteq V(G)$, with $|S| \leq k$, covering $E(G)$?



Easy branching rule: Let (G, k) be an instance and let $e = \{u, v\} \in E(G)$. Branch into the two **smaller** instances $(G - u, k - 1)$ and $(G - v, k - 1)$.

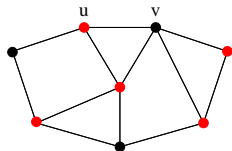


Running time: $O(2^k \cdot (m + n))$

Here, $n = |V(G)|$ and $m = |E(G)|$

Example of Kernelization Algorithm

k -Vertex Cover: Does a graph G contain a set $S \subseteq V(G)$, with $|S| \leq k$, covering $E(G)$?



Reduction rule: over instance (G, k) .

- If $\exists v$ s.t. $d(v) > k$, then (G, k) is YES iff $(G - v, k - 1)$ is YES.

\Rightarrow we build an equivalent instance (G', k') with $\Delta(G') \leq k!$

If (G', k') has more than k^2 edges, the answer is NO.

Otherwise, G' has at most $2k^2$ vertices and at most k^2 edges.

G' is a **kernel!**

\Rightarrow One can then make brute force on (G', k') .

Running time: $O(2^{2k^2} \cdot (m + n))$

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Corollary (A., Baste, Sau)

Assuming *ETH*, there is no algorithm that, given a *weighted tree* (G, w) and a positive integer r , computes $\sigma(G, w; r)$ in time $f(r) \cdot n^{o(r)}$ for any *computable function* f .

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The **dual parameterization** has proved to be **useful** for Vertex Coloring, Grundy Coloring, and b -Coloring.

[Chor, Fellows, Juedes. 2004]

[Havet, Sampaio. 2013]

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- Algorithm running in time $2^{\mathcal{O}(k \log k)} \cdot n^{\mathcal{O}(1)}$.
- Asked “what **size of kernel** can be achieved for the problem?”

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 - ▶ **Kernel** on **Subclasses of split graphs**, with **lower bounds** on the degrees.

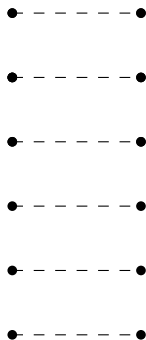
An exponential kernel for Dual Weighted Coloring

We start with an idea already used, in particular, by

[Escoffier. 2016]

An exponential kernel for Dual Weighted Coloring

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antimatching \overline{M}



We compute (in poly time) a **maximum unweighted antimatching \overline{M}** .

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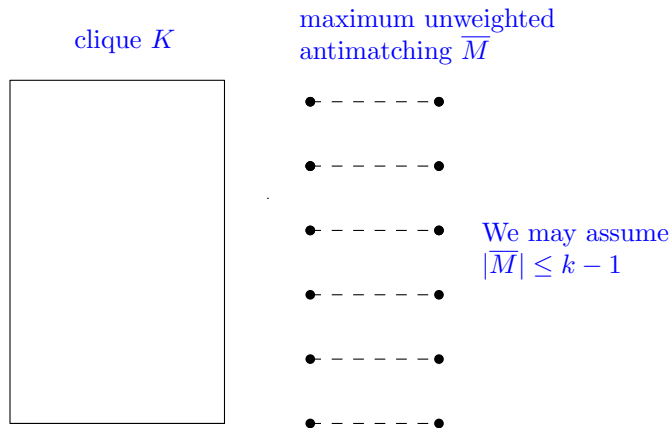
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We may assume
 $|\overline{M}| \leq k - 1$

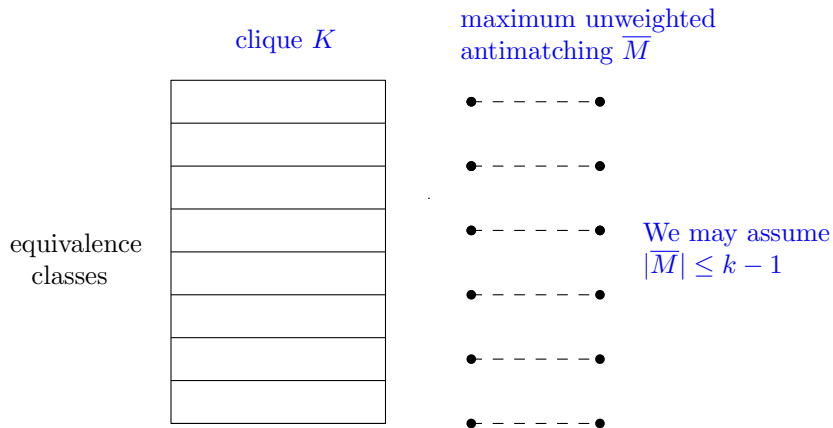
If $|\overline{M}| \geq k$, we are dealing with a **Yes-instance**.

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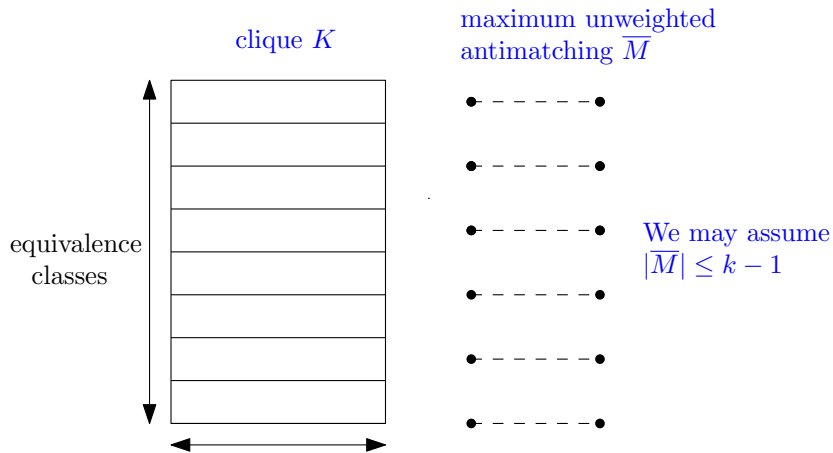
The **complement** of the antimatching \overline{M} induces a **clique** K .

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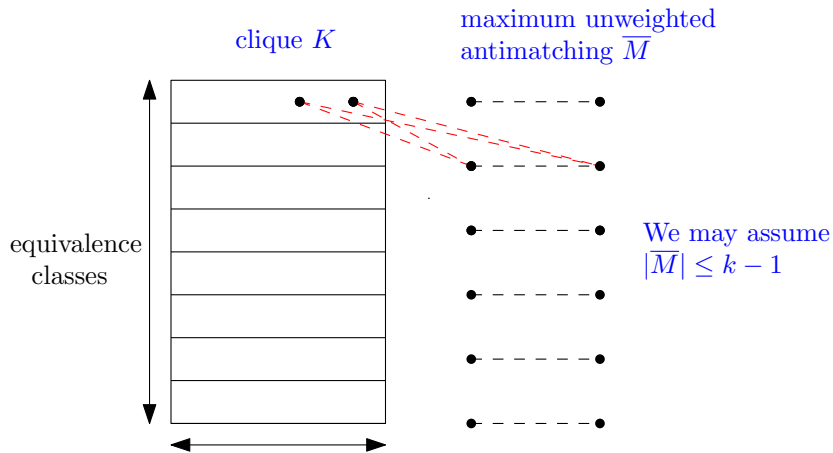
We partition K into **equivalence classes** w.r.t. the neighborhood in \overline{M} .

An exponential kernel for Dual Weighted Coloring



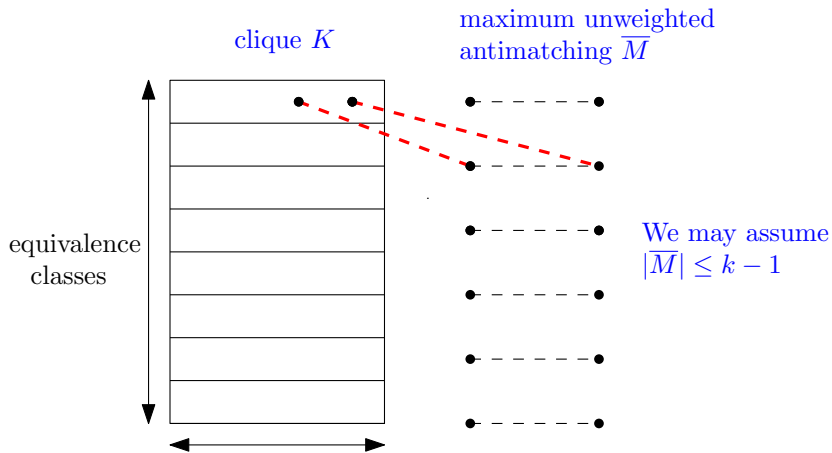
We have to **bound the number and the size** of the equivalence classes.

An exponential kernel for Dual Weighted Coloring



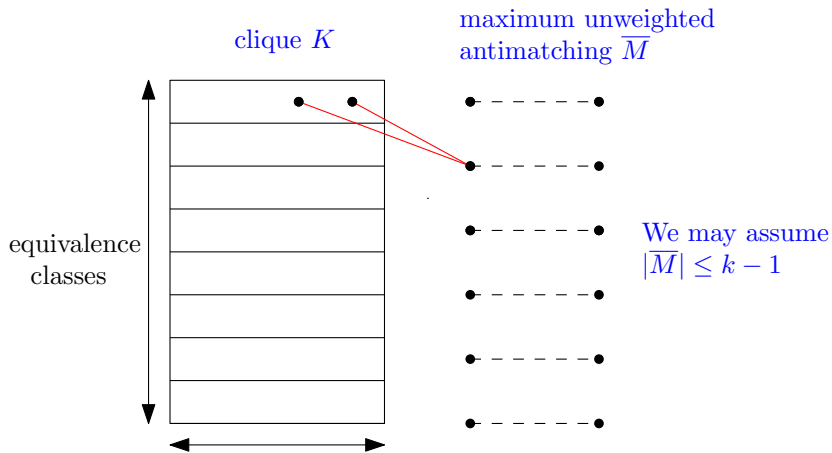
An equivalence class **cannot miss both endvertices** of a non-edge.

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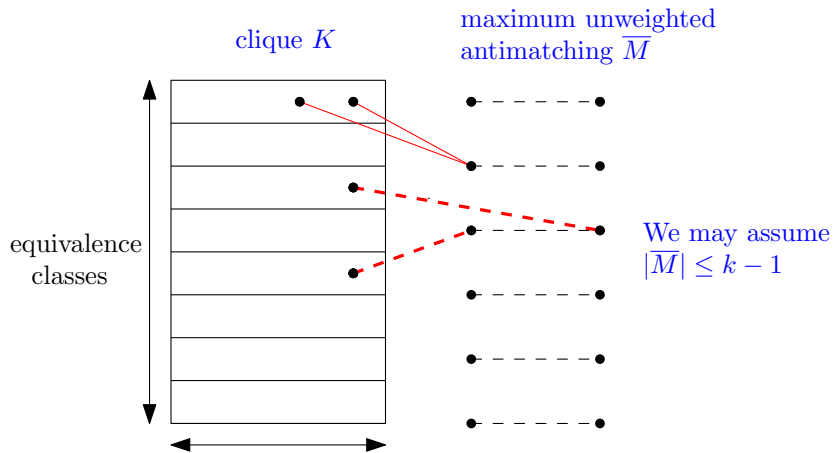
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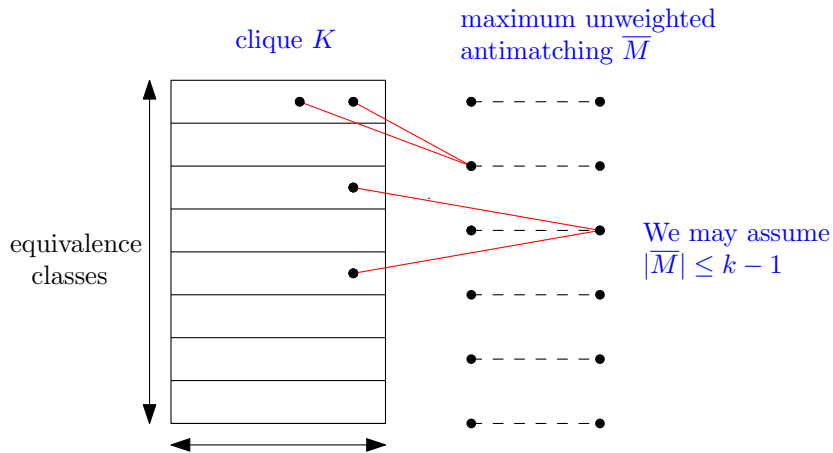
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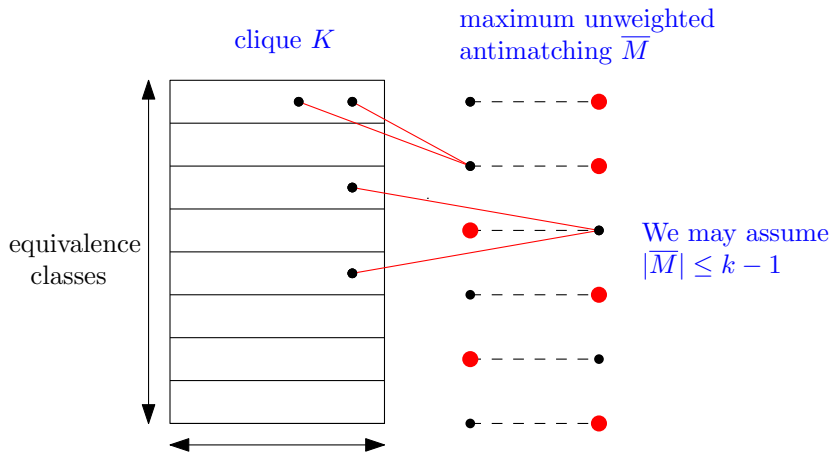
Two equivalence classes **cannot miss different endvertices** of a non-edge.

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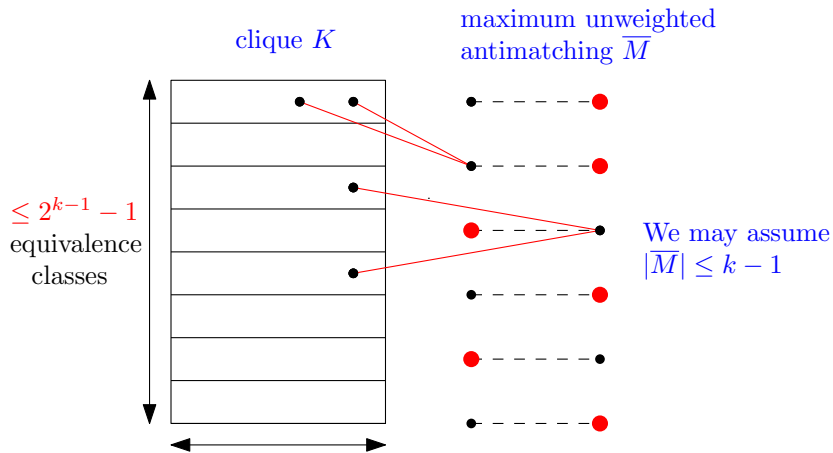
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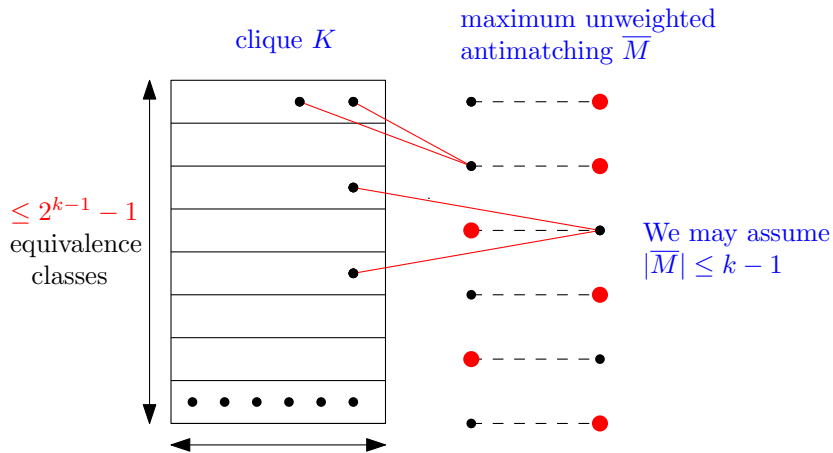
Every non-edge has a **unique "potential non-neighbor"** for the classes.

An exponential kernel for Dual Weighted Coloring



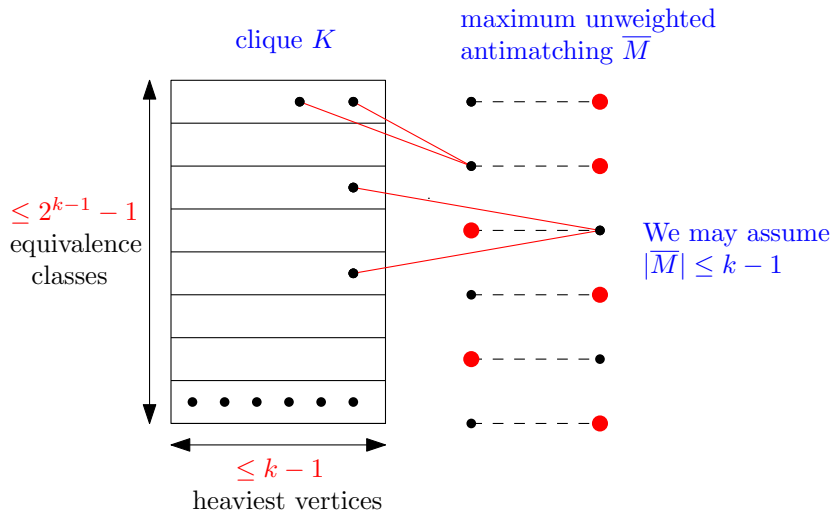
Hence, the number of equivalence classes is at most $2^{k-1} - 1$.

An exponential kernel for Dual Weighted Coloring



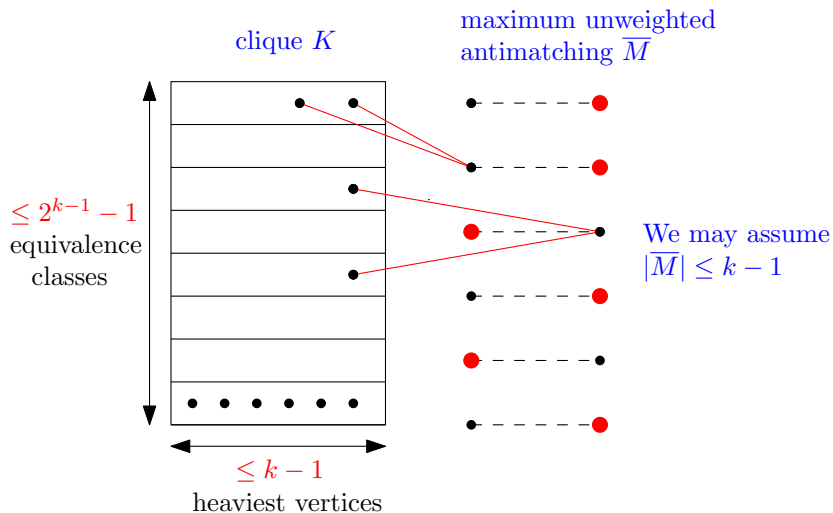
It remains to bound the **size** of each equivalence class.

An exponential kernel for Dual Weighted Coloring



It is safe to keep only the $k - 1$ heaviest vertices of each equivalence class.

An exponential kernel for Dual Weighted Coloring



Thus, $|V(G)| \leq |V(\overline{M})| + |K| \leq 2(k - 1) + (2^{k-1} - 1)(k - 1)$.

Ruling out polynomial kernels

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We present a **polynomial parameter transformation** from **Set Cover** parameterized by the **size of the universe**, known not to admit polynomial kernels unless $\text{NP} \subseteq \text{coNP}/\text{poly}$.

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Let $A, B \subseteq \Sigma^* \times \mathbb{N}$ be two parameterized problems.

A **polynomial parameter transformation** from A to B is an algorithm s.t.:



- 1 (x, k) is a Yes-instance of $A \Leftrightarrow (x', k')$ is a Yes-instance of B .
- 2 $k' \leq \text{poly}(k)$.

[Bodlaender, Thomassé, Yeo. 2011]

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Our reduction is an **appropriate modification** of a reduction to prove the **NP-hardness** of Weighted Coloring on **split** graphs:
only the weights change.

[Demange, de Werra, Monnot, Paschos. 2002]

Polynomial parameter transformation from Set Cover

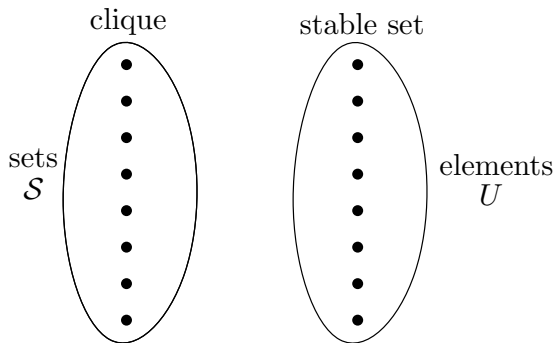
We are given an instance $(U, \mathcal{S}, k, \ell)$ of Set Cover, where \mathcal{S} is a family of sets over a universe U of size k (the parameter).

Question: $\exists? \mathcal{S}' \subseteq \mathcal{S}$ of at most ℓ sets covering all the elements of U .

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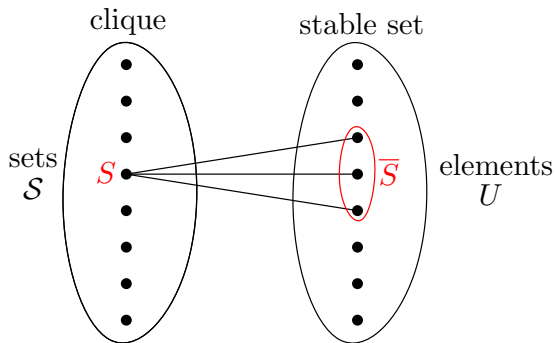
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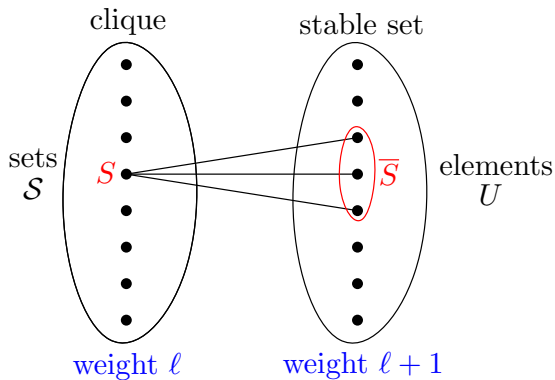
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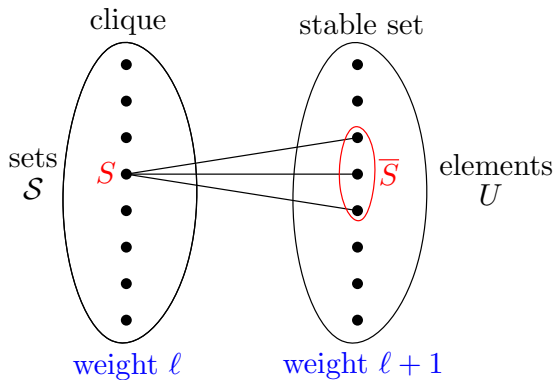
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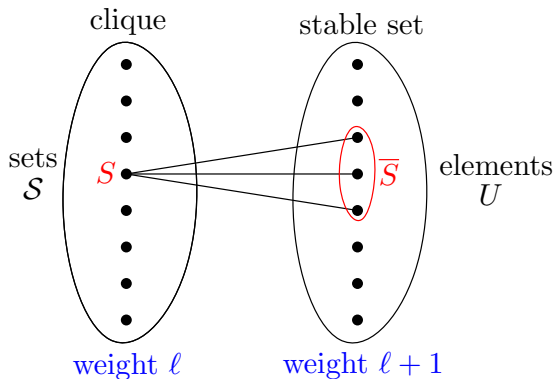


We build an instance (G, w, k') , with $k' = k(\ell + 1) - \ell = \mathcal{O}(k^2)$.

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$(U, \mathcal{S}, k, \ell)$ satisfiable $\Leftrightarrow \sigma(G, w) \leq \sum_{v \in V(G)} w(v) - k' = |\mathcal{S}| \cdot \ell + \ell$.

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Proposition

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Furthermore, for any $\varepsilon > 0$, a kernel with $\mathcal{O}(k^{\frac{d-3}{2}-\varepsilon})$ vertices does *not* exist unless $\text{NP} \subseteq \text{coNP}/\text{poly}$.

Using the kernel lower bound for d -Set Cover.

[Hermelin, Wu. 2012]

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- Can the cubic kernel on interval graphs be improved?
- Identify other classes of (dense) graphs allowing for polynomial kernels.

Thank you!